

Lecture 14: Robot Learning

So far: Supervised Learning

Supervised Learning

Data: (x, y)

x is data, y is label

Goal: Learn a *function* to map $x \rightarrow y$

Examples: Classification, regression, object detection, semantic segmentation, image captioning, etc.

Classification



Cat

[This image](#) is [CC0 public domain](#)

So far: Self-Supervised Learning

Self-Supervised Learning

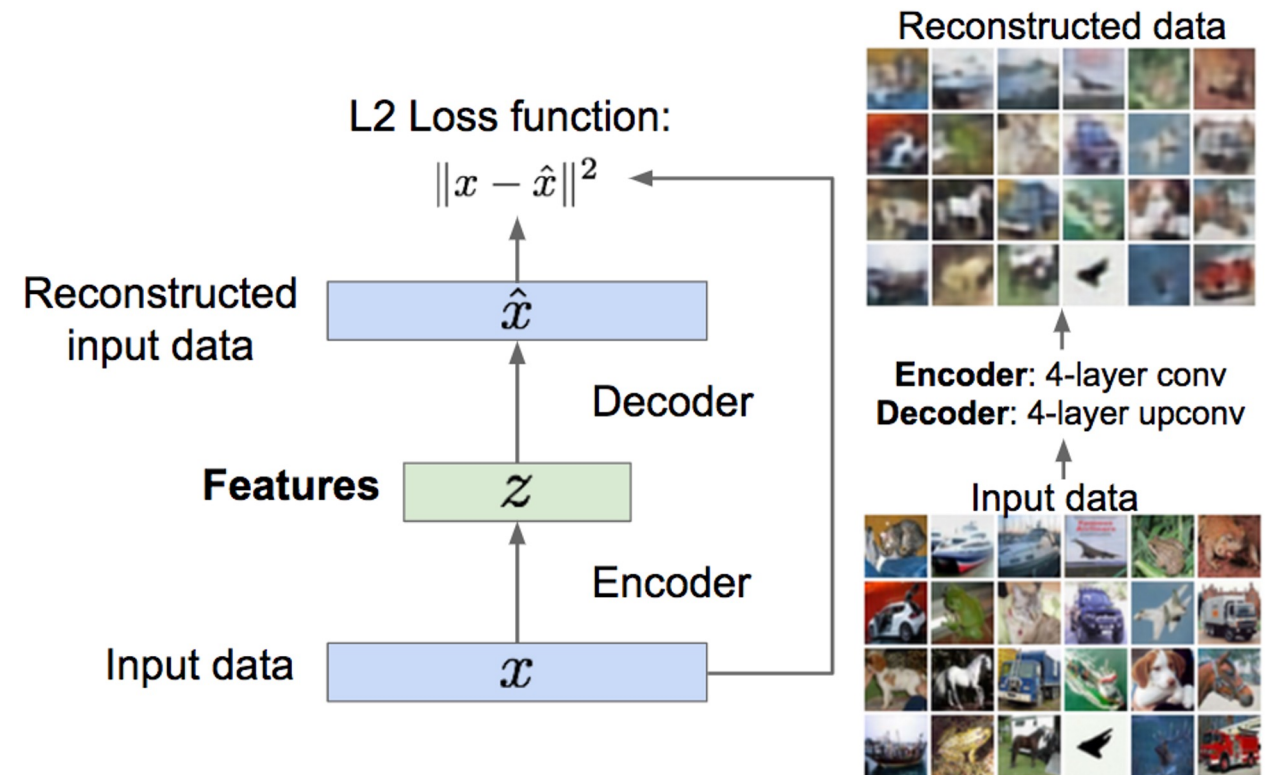
Data: x

Just data, no labels!

Goal: Learn some underlying hidden *structure* of the data

Examples: Clustering, dimensionality reduction, feature learning, density estimation, etc.

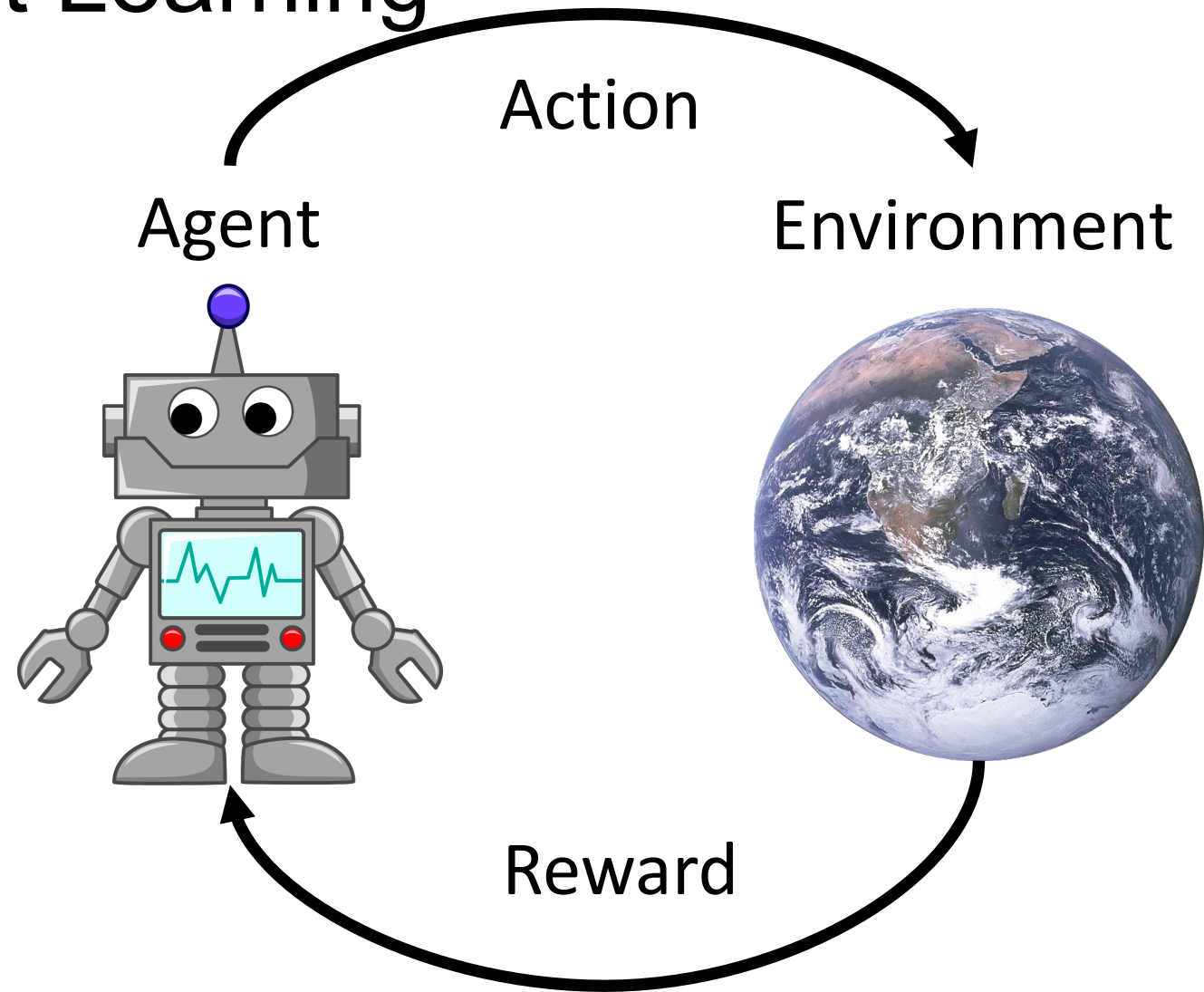
Feature Learning (e.g. autoencoders)



Today: Reinforcement Learning

Problems where an **agent** performs **actions** in **environment**, and receives **rewards**

Goal: Learn how to take actions that maximize reward



[Earth photo](#) is in the public domain
[Robot image](#) is in the public domain

Overview

- What is reinforcement learning?
- Algorithms for reinforcement learning
 - Q-Learning
 - Policy Gradients
 - Model-based RL and planning

Reinforcement Learning

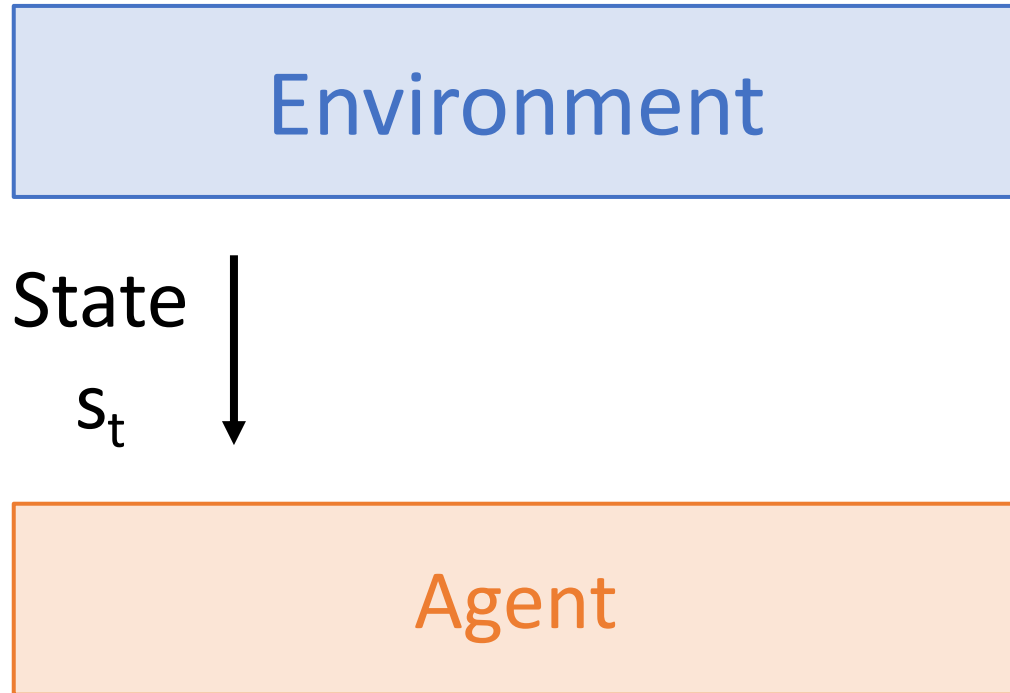


Environment

The diagram consists of two rectangular boxes. The top box is light blue with a dark blue border and contains the word 'Environment'. The bottom box is light orange with a dark orange border and contains the word 'Agent'. The boxes are positioned vertically, with the Environment box above the Agent box.

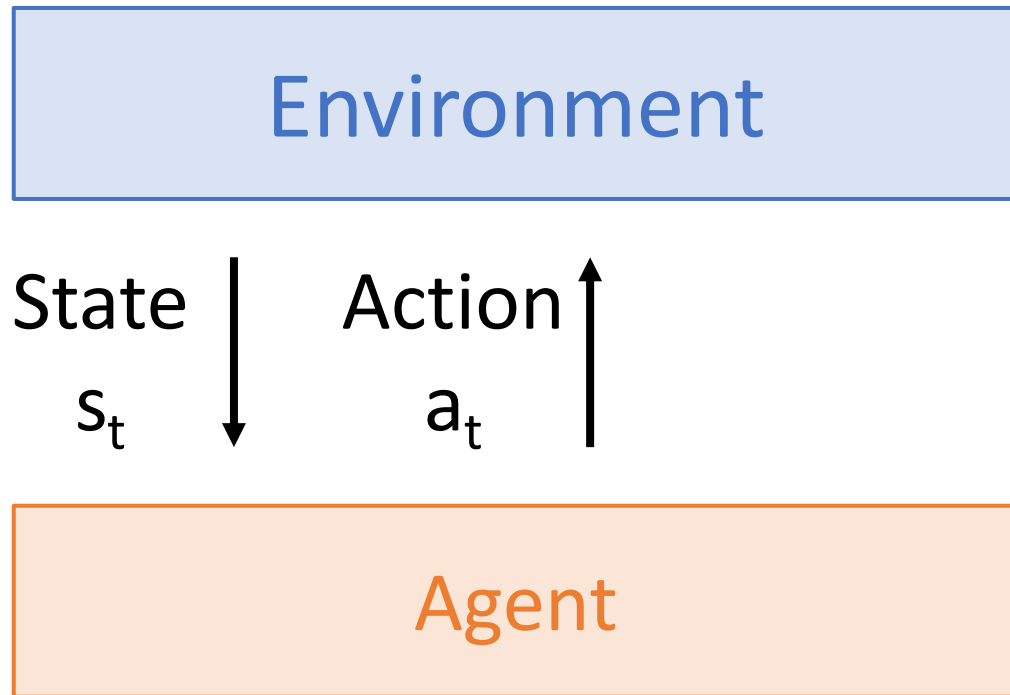
Agent

Reinforcement Learning



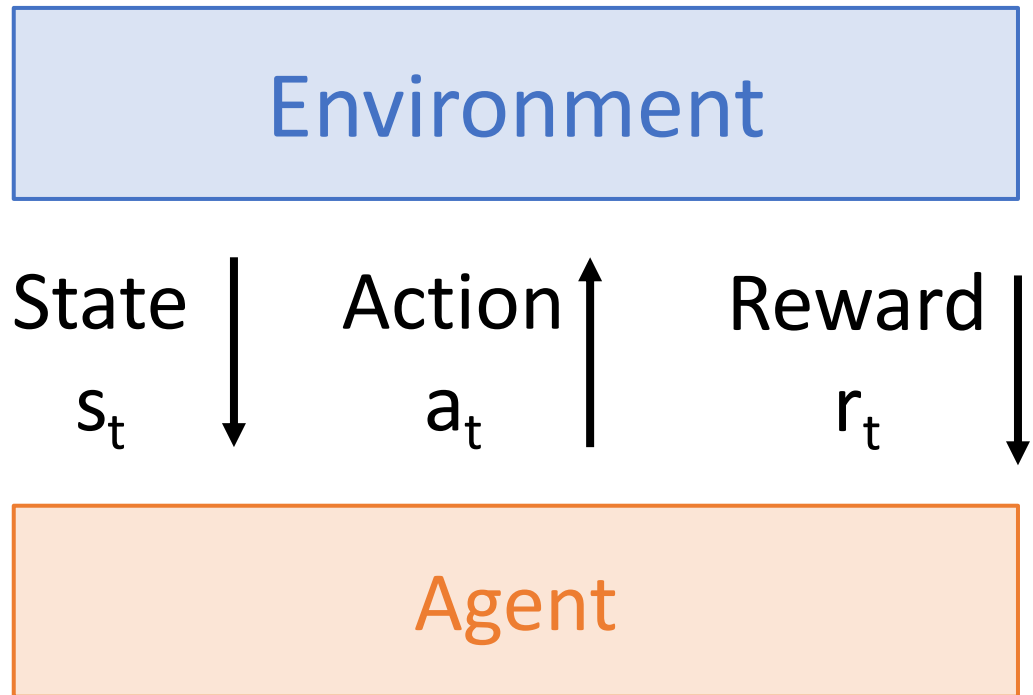
The agent sees a **state**; may be noisy or incomplete

Reinforcement Learning



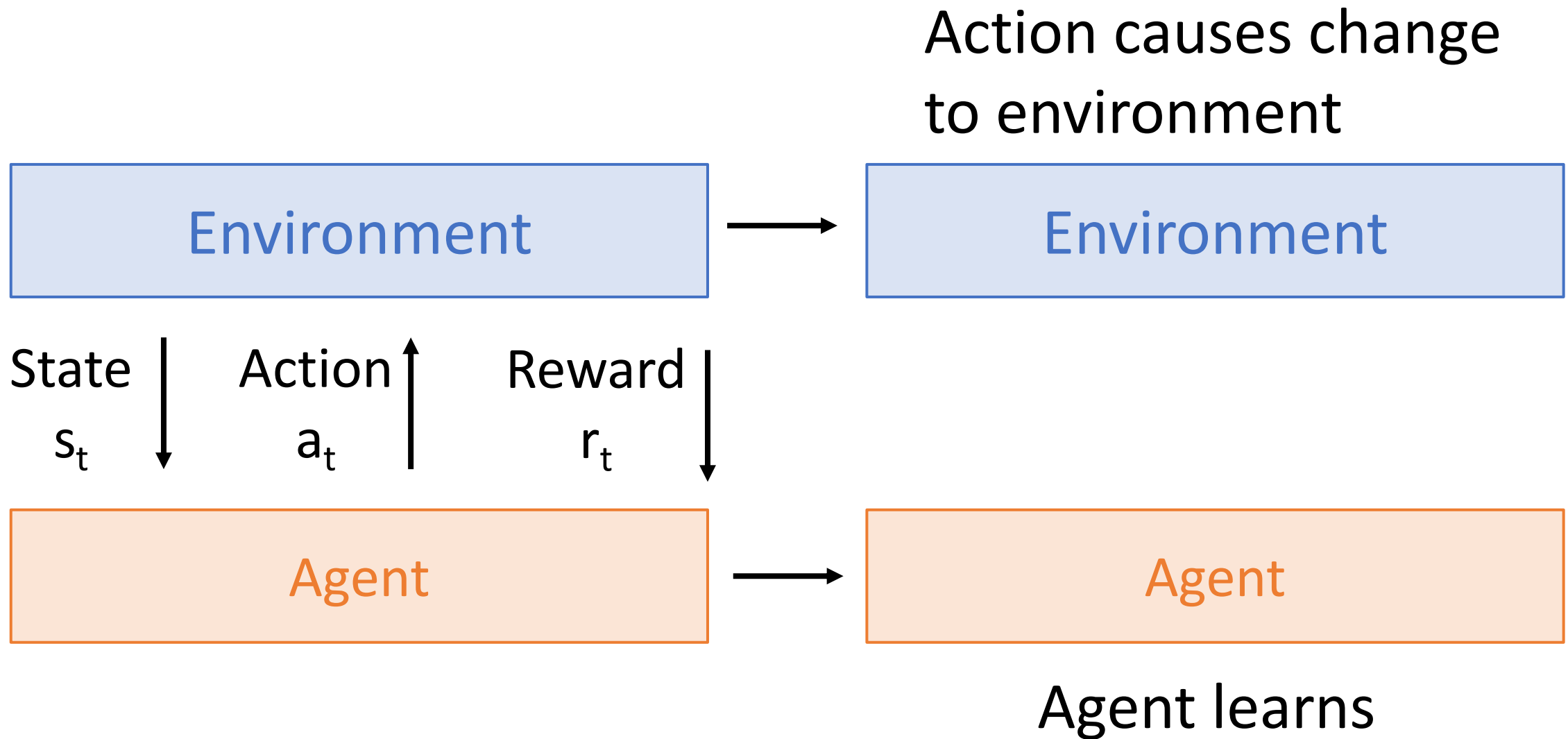
The makes an **action**
based on what it sees

Reinforcement Learning



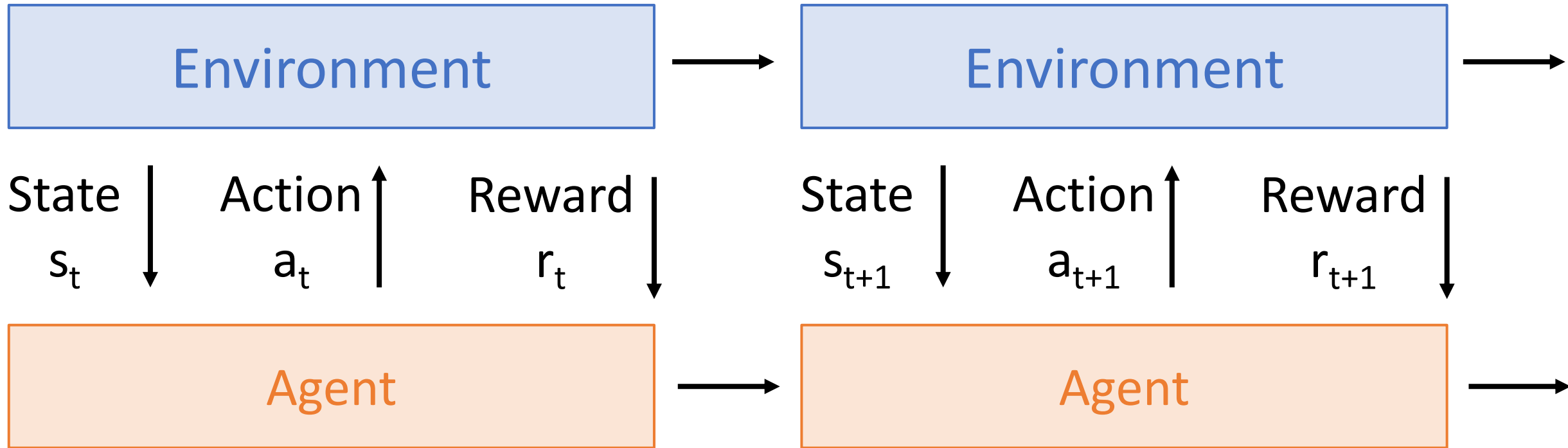
Reward tells the agent how well it is doing

Reinforcement Learning

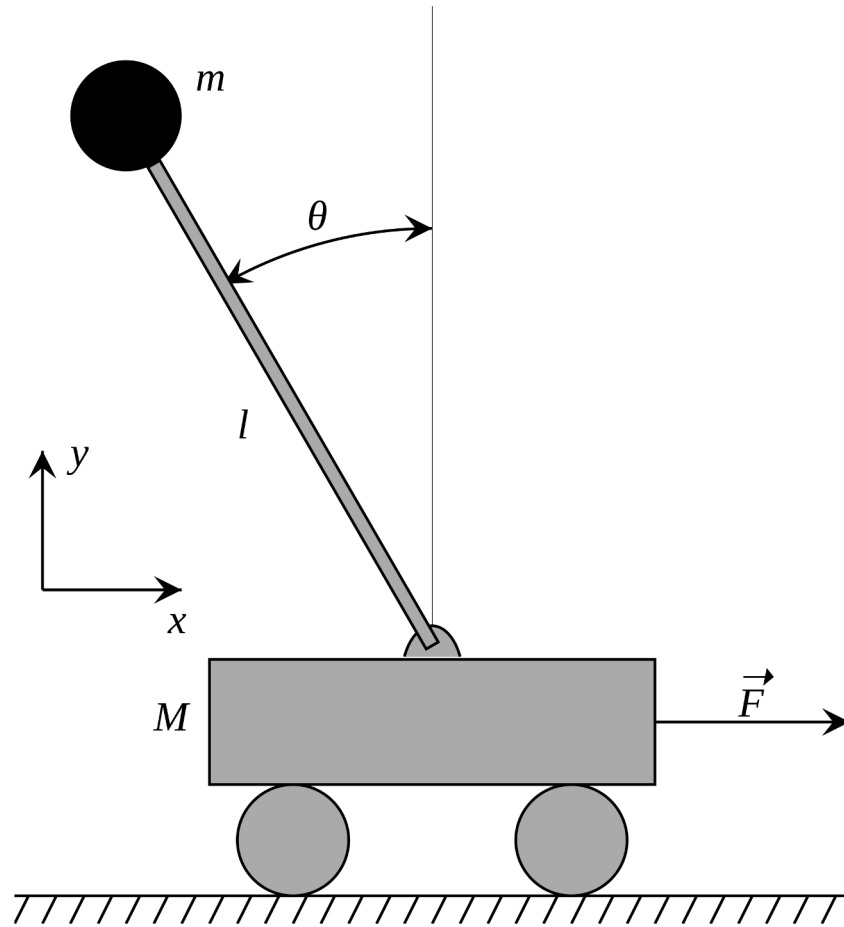


Reinforcement Learning

Process repeats



Example: Cart-Pole Problem



Objective: Balance a pole on top of a movable cart

State: angle, angular speed, position, horizontal velocity

Action: horizontal force applied on the cart

Reward: 1 at each time step if the pole is upright

Example: Robot Locomotion

Objective: Make the robot move forward

State: Angle, position, velocity of all joints

Action: Torques applied on joints

Reward: 1 at each time step upright + forward movement

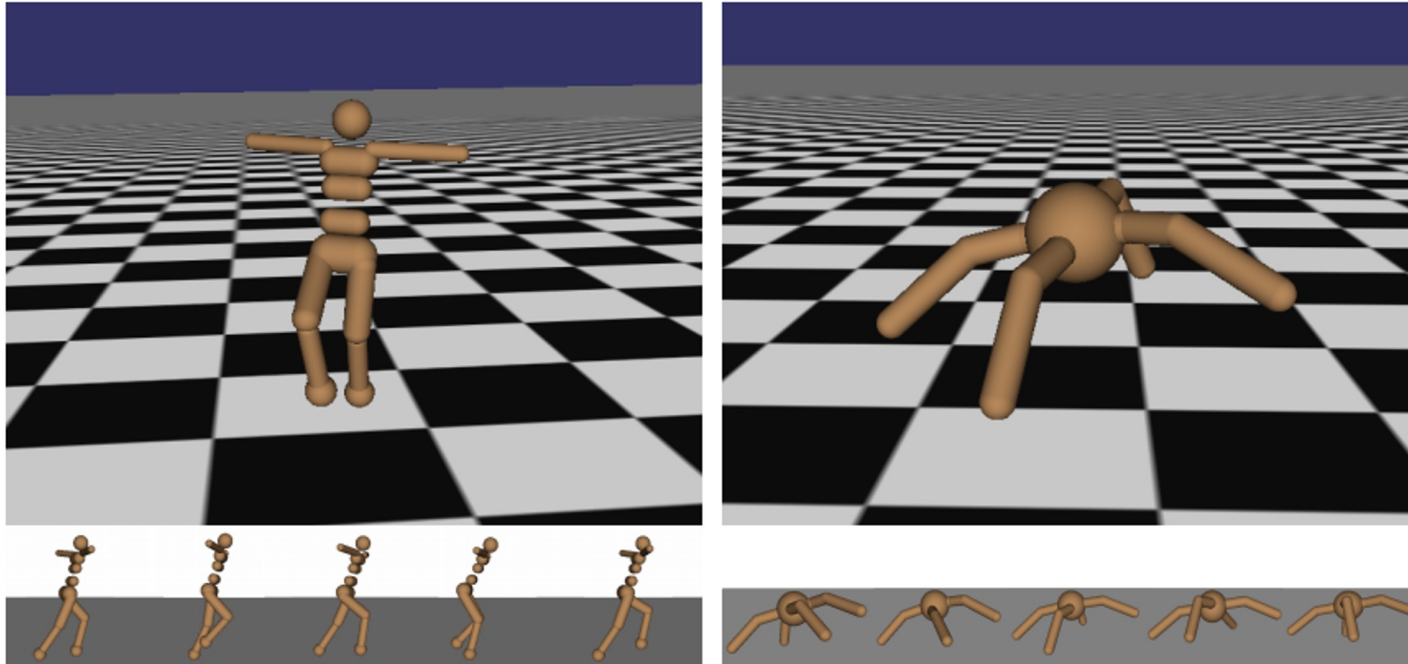


Figure from: Schulman et al, "High-Dimensional Continuous Control Using Generalized Advantage Estimation", ICLR 2016

Example: Atari Games



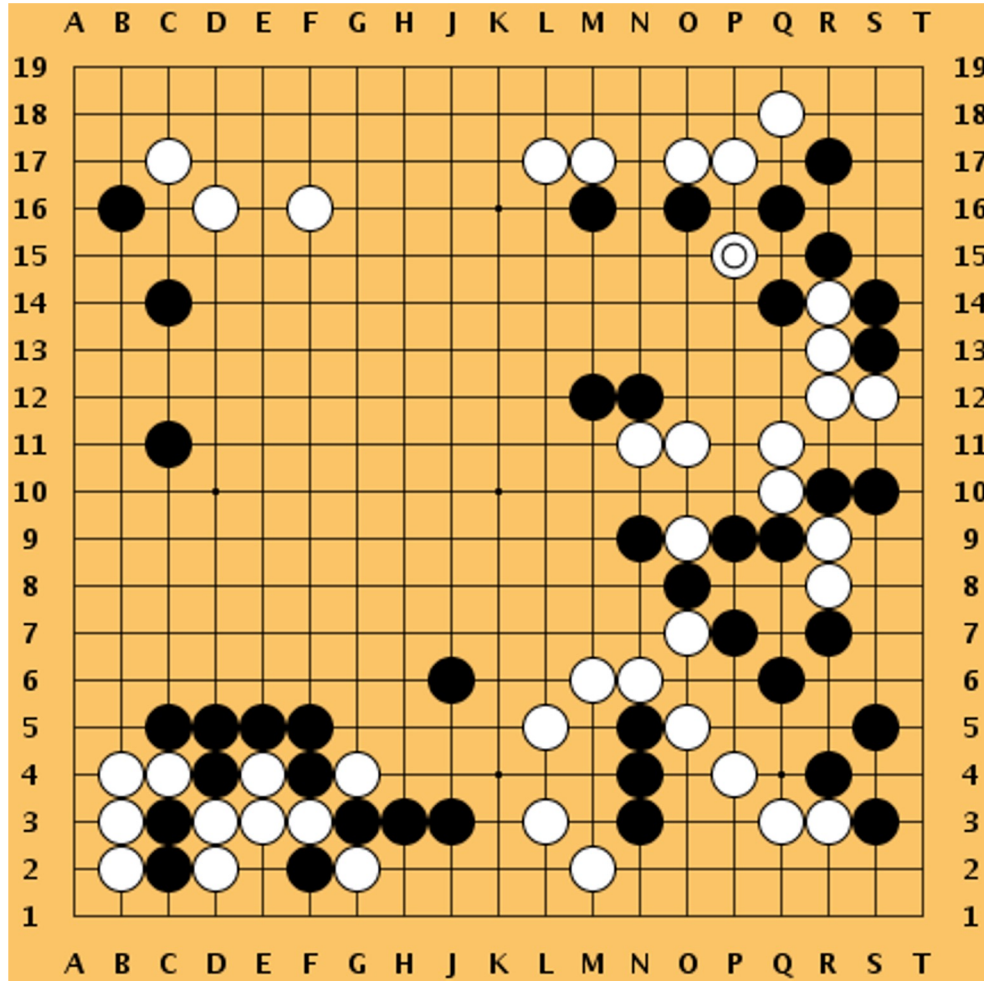
Objective: Complete the game with the highest score

State: Raw pixel inputs of the game screen

Action: Game controls e.g. Left, Right, Up, Down

Reward: Score increase/decrease at each time step

Example: Go



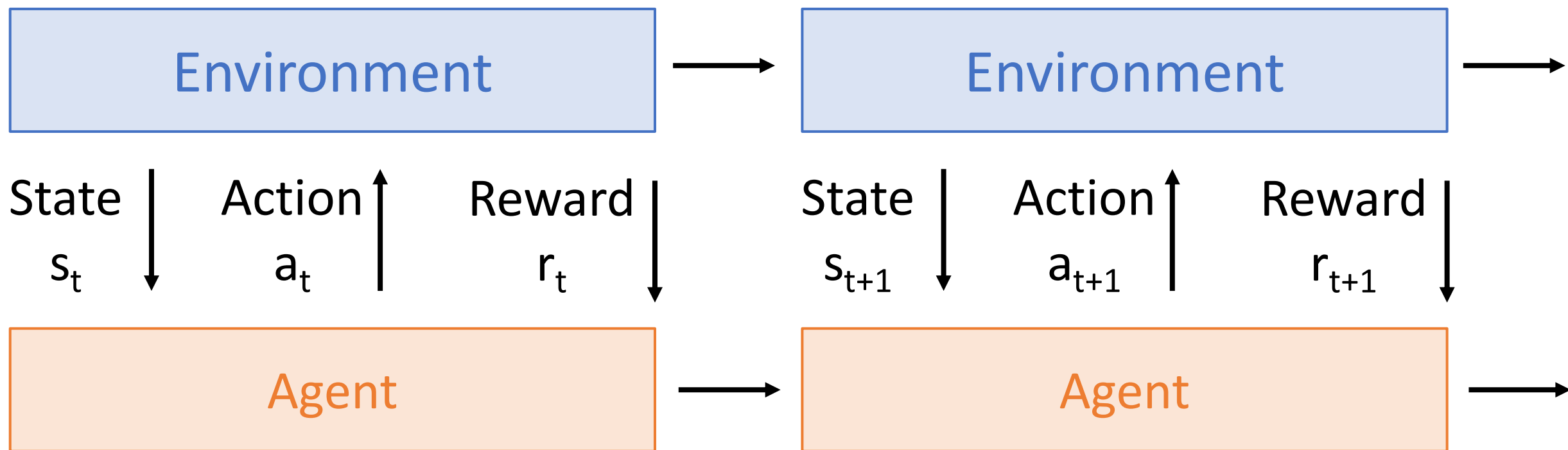
Objective: Win the game!

State: Position of all pieces

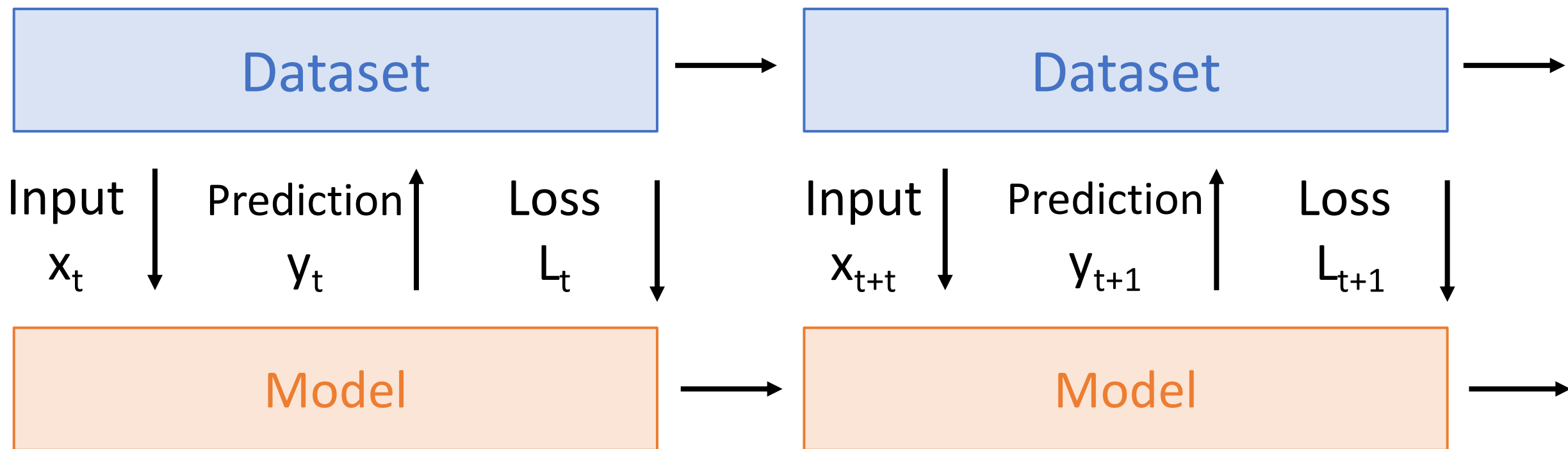
Action: Where to put the next piece down

Reward: On last turn: 1 if you won, 0 if you lost

Reinforcement Learning vs Supervised Learning

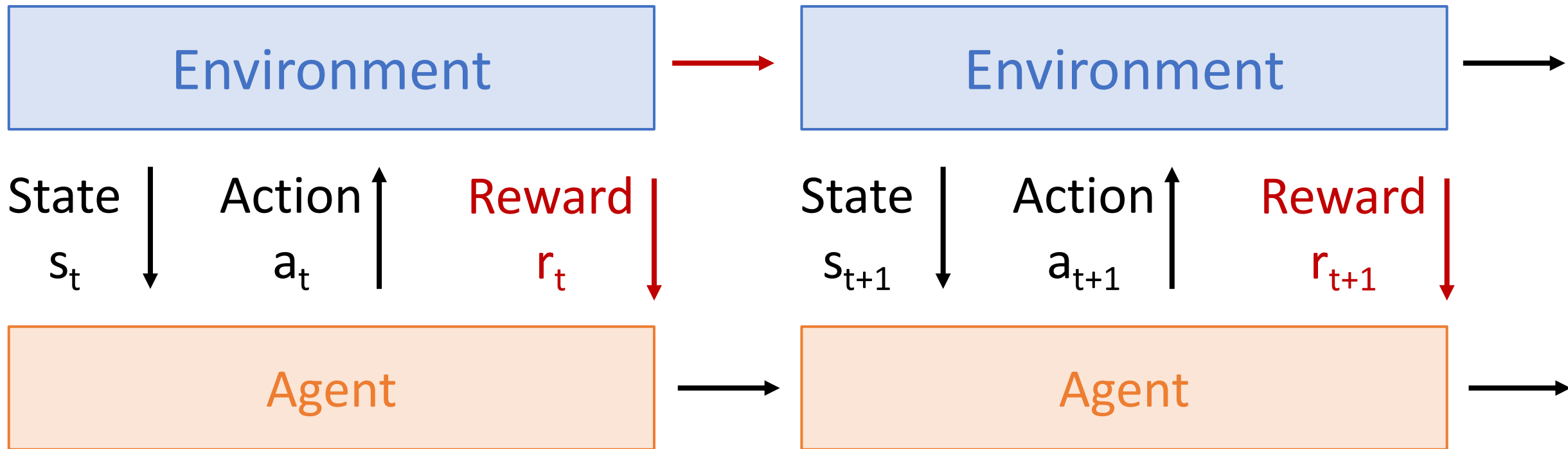


Reinforcement Learning vs Supervised Learning



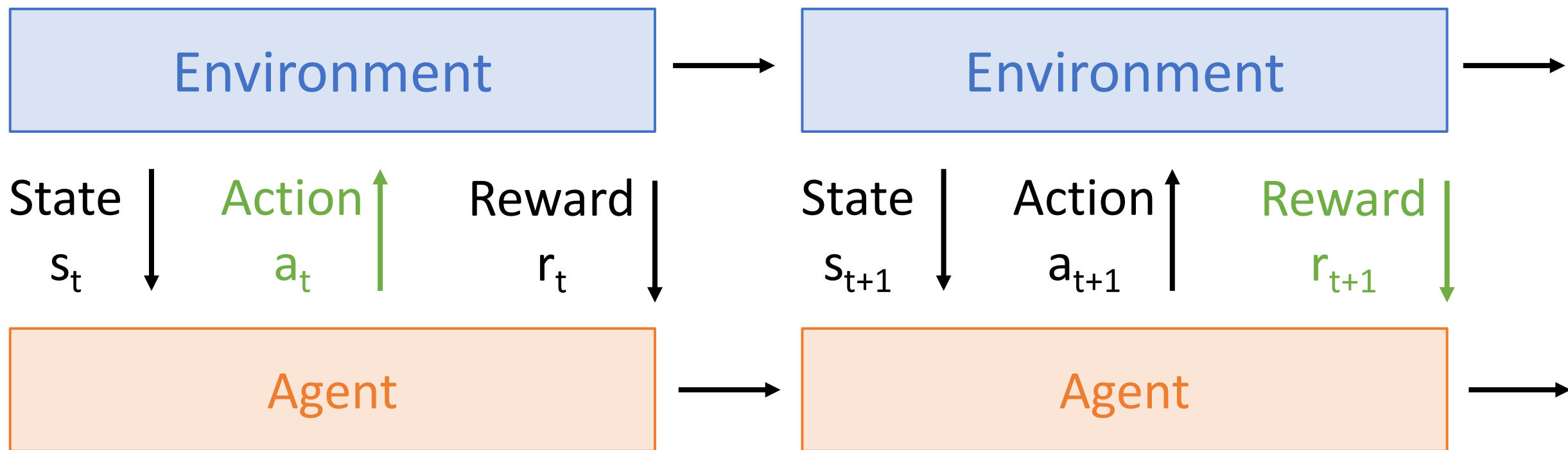
Why is RL different from normal supervised learning?

Reinforcement Learning vs Supervised Learning



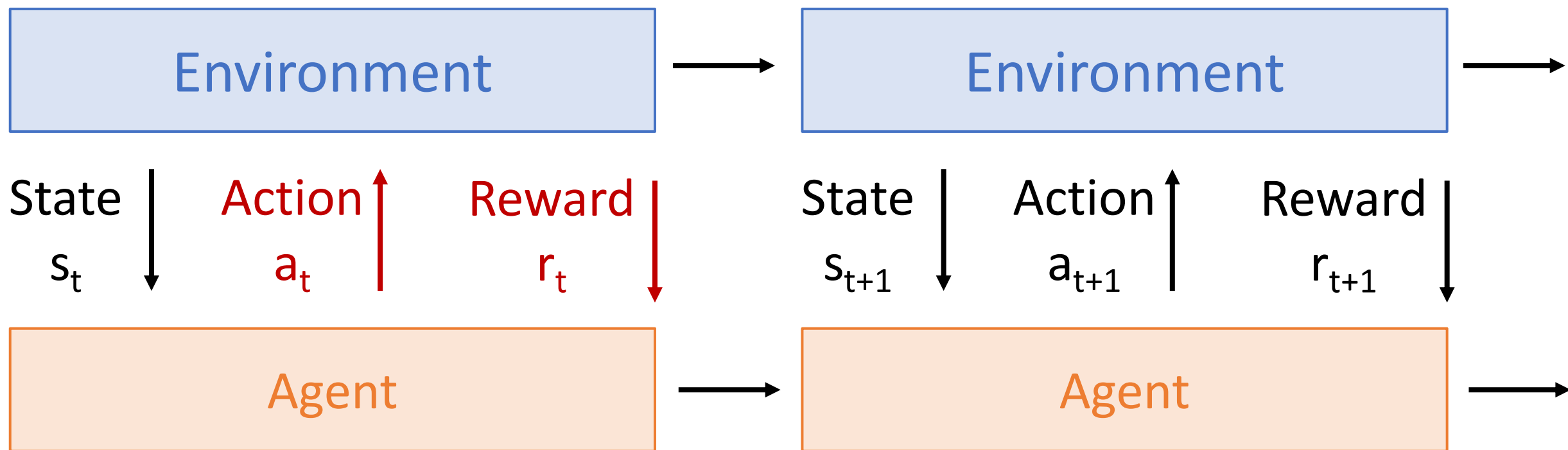
Stochasticity: Rewards and state transitions may be random

Reinforcement Learning vs Supervised Learning



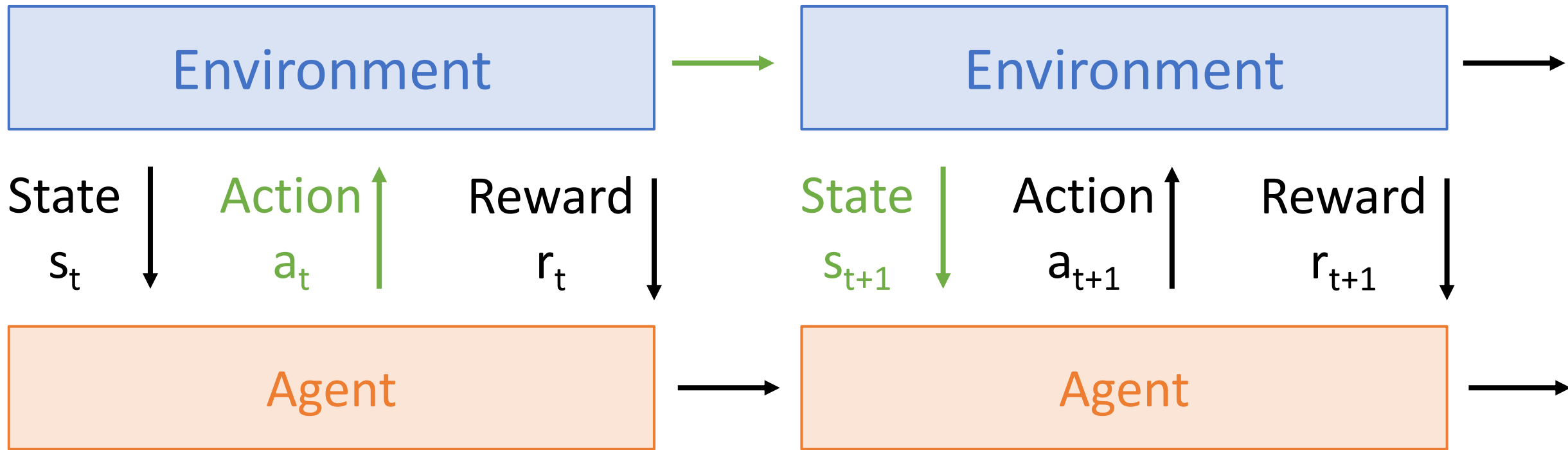
Credit assignment: Reward r_t may not directly depend on action a_t

Reinforcement Learning vs Supervised Learning



Nondifferentiable: Can't backprop through world; can't compute dr_t/da_t

Reinforcement Learning vs Supervised Learning



Nonstationary: What the agent experiences depends on how it acts

Markov Decision Process (MDP)

Mathematical formalization of the RL problem: A tuple (S, A, R, P, γ)

S: Set of possible states

A: Set of possible actions

R: Distribution of reward given (state, action) pair

P: Transition probability: distribution over next state given (state, action)

γ : Discount factor (tradeoff between future and present rewards)

Markov Property: The current state completely characterizes the state of the world. Rewards and next states depend only on current state, not history.

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Agent executes a **policy** π giving distribution of actions conditioned on states

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Agent executes a **policy** π giving distribution of actions conditioned on states

Goal: Find policy π^* that maximizes cumulative discounted reward: $\sum_t \gamma^t r_t$

Markov Decision Process (MDP)

- At time step $t=0$, environment samples initial state $s_0 \sim p(s_0)$
- Then, for $t=0$ until done:
 - Agent selects action $a_t \sim \pi(a | s_t)$
 - Environment samples reward $r_t \sim R(r | s_t, a_t)$
 - Environment samples next state $s_{t+1} \sim P(s | s_t, a_t)$
 - Agent receives reward r_t and next state s_{t+1}

A simple MDP: Grid World

Actions:

1. Right
2. Left
3. Up
4. Down

States

★			
			★

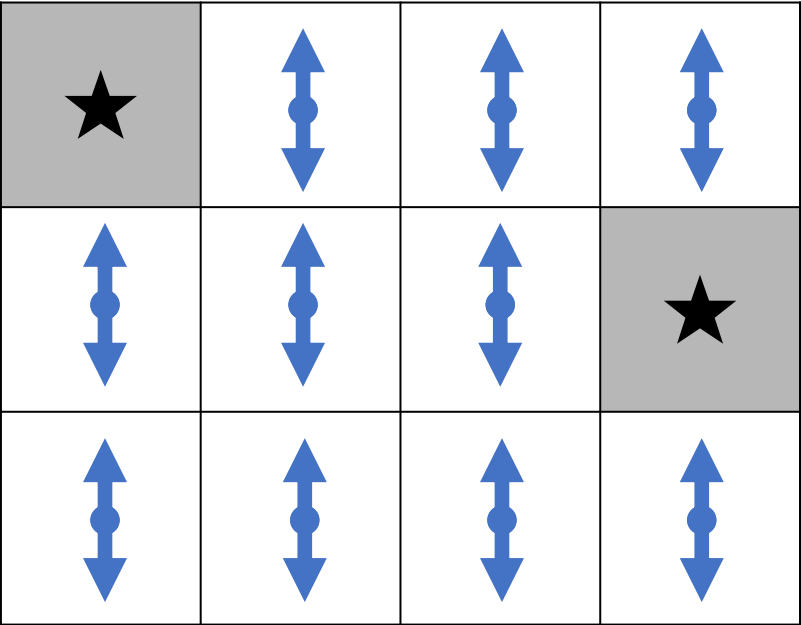
Reward

Set a negative “reward” for each transition (e.g. $r = -1$)

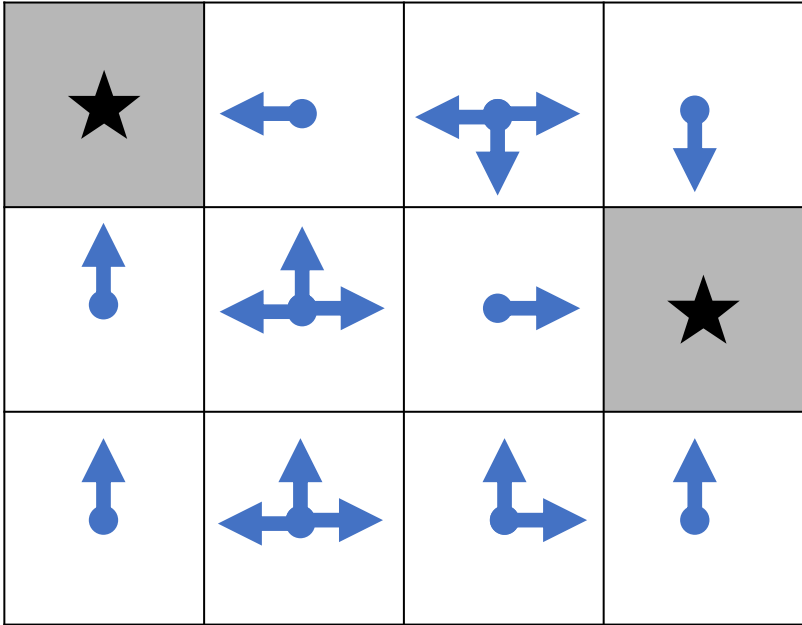
Objective: Reach one of the terminal states in as few moves as possible

A simple MDP: Grid World

Bad policy



Optimal Policy



Finding Optimal Policies

Goal: Find the optimal policy π^* that maximizes (discounted) sum of rewards.

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Problem: Lots of randomness! Initial state, transition probabilities, rewards

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Solution: Maximize the expected sum of rewards

$$\pi^* = \arg \max_{\pi} \mathbb{E} \left[\sum_{t \geq 0} \gamma^t r_t \mid \pi \right]$$
$$s_0 \sim p(s_0)$$
$$a_t \sim \pi(a \mid s_t)$$
$$s_{t+1} \sim P(s \mid s_t, a_t)$$

Value Function and Q Function

Following a policy π produces **sample trajectories** (or paths) $s_0, a_0, r_0, s_1, a_1, r_1, \dots$

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How good is a state? The **value function** at state s , is the expected cumulative reward from following the policy from state s :

$$V^\pi(s) = \mathbb{E} \left[\sum_{t \geq 0} \gamma^t r_t \mid s_0 = s, \pi \right]$$

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How good is a state-action pair? The **Q function** at state s and action a , is the expected cumulative reward from taking action a in state s and then following the policy:

$$Q^\pi(s, a) = \mathbb{E} \left[\sum_{t \geq 0} \gamma^t r_t \mid s_0 = s, a_0 = a, \pi \right]$$

Bellman Equation

Optimal Q-function: $Q^*(s, a)$ is the Q-function for the optimal policy π^*
It gives the max possible future reward when taking action a in state s :

$$Q^*(s, a) = \max_{\pi} \mathbb{E} \left[\sum_{t \geq 0} \gamma^t r_t \mid s_0 = s, a_0 = a, \pi \right]$$

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Bellman Equation: Q^* satisfies the following recurrence relation:

$$Q^*(s, a) = \mathbb{E}_{r, s'} \left[r + \gamma \max_{a'} Q^*(s', a') \right]$$

Where $r \sim R(s, a), s' \sim P(s, a)$

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Intuition: After taking action a in state s , we get reward r and move to a new state s' . After that, the max possible reward we can get is $\max_{a'} Q^*(s', a')$

Solving for the optimal policy: Value Iteration

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Idea: If we find a function $Q(s, a)$ that satisfies the Bellman Equation, then it must be Q^* .

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Idea: If we find a function $Q(s, a)$ that satisfies the Bellman Equation, then it must be Q^* . Start with a random Q , and use the Bellman Equation as an update rule:

$$Q_{i+1}(s, a) = \mathbb{E}_{r, s'} \left[r + \gamma \max_{a'} Q_i(s', a') \right]$$

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Amazing fact: Q_i converges to Q^* as $i \rightarrow \infty$

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Solution: Approximate $Q(s, a)$ with a neural network, use Bellman Equation as loss!

Solving for the optimal policy: Deep Q-Learning

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Train a neural network (with weights θ) to approximate Q^* : $Q^*(s, a) \approx Q(s, a; \theta)$

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Use the Bellman Equation to tell what Q should output for a given state and action:

$$y_{s, a, \theta} = \mathbb{E}_{r, s'} \left[r + \gamma \max_{a'} Q(s', a'; \theta) \right]$$

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Use this to define the loss for training Q : $L(s, a) = (Q(s, a; \theta) - y_{s, a, \theta})^2$

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Problem: How to sample batches of data for training?

Case Study: Playing Atari Games



Objective: Complete the game with the highest score

State: Raw pixel inputs of the game screen

Action: Game controls e.g. Left, Right, Up, Down

Reward: Score increase/decrease at each time step

Case Study: Playing Atari Games

Network output:

Q-values for all actions

FC-A (Q-values)

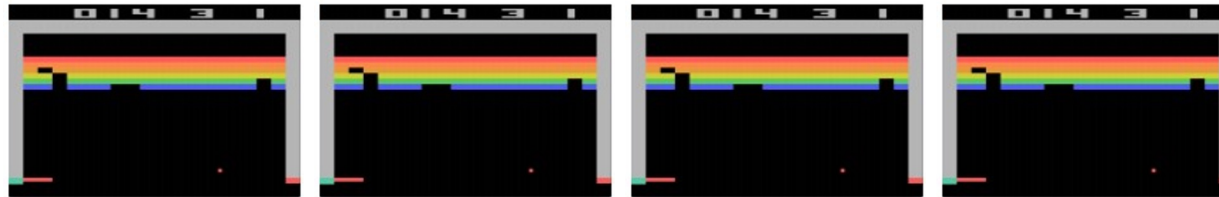
FC-256

Conv(16->32, 4x4, stride 2)

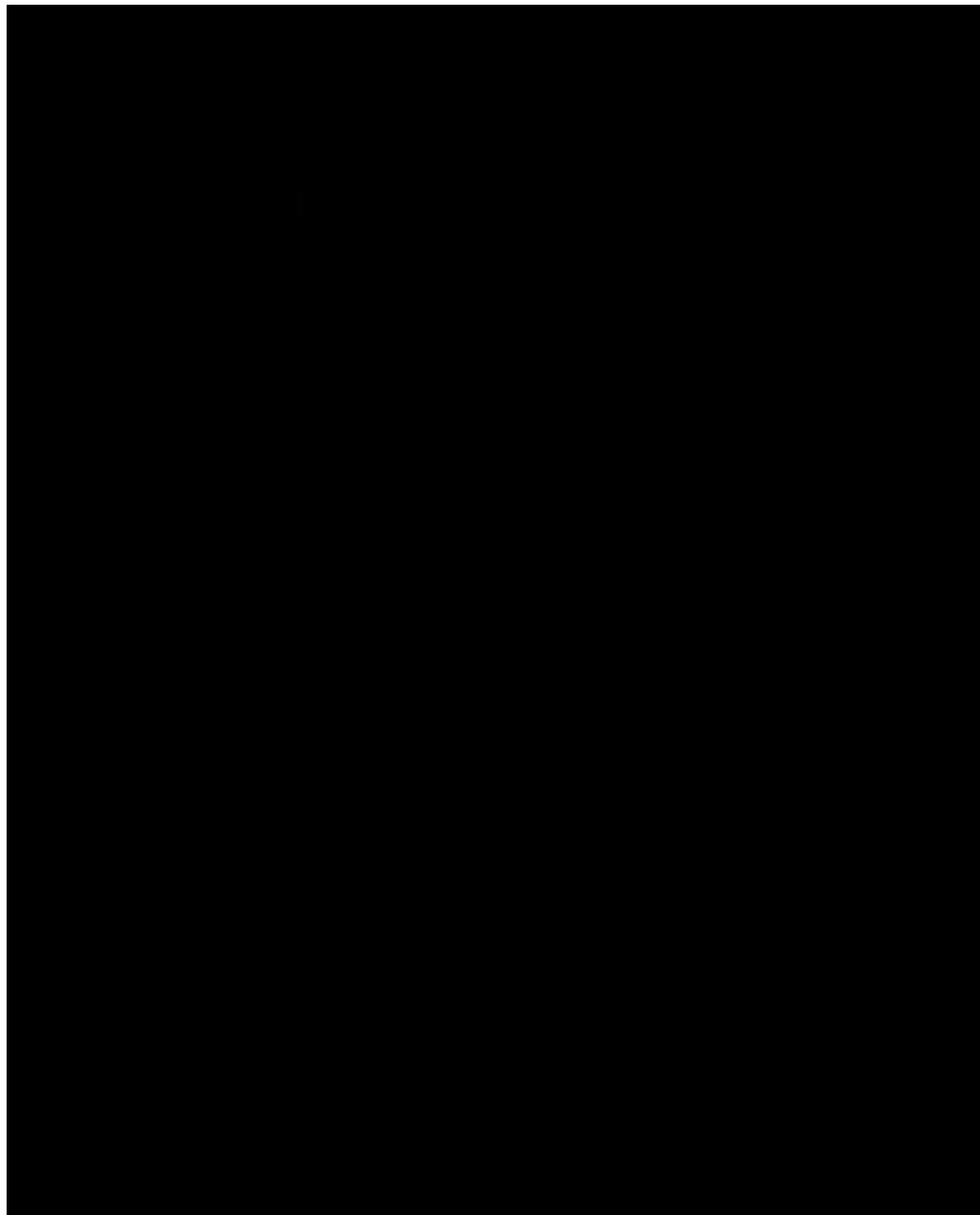
Conv(4->16, 8x8, stride 4)

With 4 actions: last layer gives values $Q(s_t, a_1)$, $Q(s_t, a_2)$, $Q(s_t, a_3)$, $Q(s_t, a_4)$

$Q(s, a; \theta)$
Neural network
with weights θ



Network input: state s_t : 4x84x84 stack of last 4 frames
(after RGB->grayscale conversion, downsampling, and cropping)



<https://www.youtube.com/watch?v=V1eYniJORnk>

Q-Learning

Q-Learning: Train network $Q_\theta(s, a)$ to estimate future rewards for every (state, action) pair

Problem: For some problems this can be a hard function to learn.

For some problems it is easier to learn a mapping from states to actions

Q-Learning vs Policy Gradients

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Policy Gradients: Train a network $\pi_\theta(a | s)$ that takes state as input, gives distribution over which action to take in that state

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Objective function: Expected future rewards when following policy π_θ :

$$J(\theta) = \mathbb{E}_{r \sim p_\theta} \left[\sum_{t \geq 0} \gamma^t r_t \right]$$

Find the optimal policy by maximizing: $\theta^* = \arg \max_{\theta} J(\theta)$ **(Use gradient ascent!)**

Policy Gradients

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General formulation: $J(\theta) = \mathbb{E}_{x \sim p_\theta} [f(x)]$ Want to compute $\frac{\partial J}{\partial \theta}$

Policy Gradients: REINFORCE Algorithm

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$$\frac{\partial J}{\partial \theta} = \frac{\partial}{\partial \theta} \mathbb{E}_{x \sim p_\theta} [f(x)] = \frac{\partial}{\partial \theta} \int_{\mathcal{X}} p_\theta(x) f(x) dx$$

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$$\frac{\partial}{\partial \theta} \log p_\theta(x)$$

Policy Gradients: REINFORCE Algorithm

General formulation: $J(\theta) = \mathbb{E}_{x \sim p_\theta} [f(x)]$ Want to compute $\frac{\partial J}{\partial \theta}$

$$\frac{\partial J}{\partial \theta} = \frac{\partial}{\partial \theta} \mathbb{E}_{x \sim p_\theta} [f(x)] = \frac{\partial}{\partial \theta} \int_{\mathcal{X}} p_\theta(x) f(x) dx = \int_{\mathcal{X}} f(x) \frac{\partial}{\partial \theta} p_\theta(x) dx$$

$$\frac{\partial}{\partial \theta} \log p_\theta(x) = \frac{1}{p_\theta(x)} \frac{\partial}{\partial \theta} p_\theta(x)$$

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Approximate the expectation via sampling!

Policy Gradients: REINFORCE Algorithm

Goal: Train a network $\pi_\theta(a | s)$ that takes state as input, gives distribution over which action to take in that state

Define: Let $x = (s_0, a_0, s_1, a_1, \dots)$ be the sequence of states and actions we get when following policy π_θ . It's random: $x \sim p_\theta(x)$

$$p_\theta(x) = \prod_{t \geq 0} P(s_{t+1} | s_t) \pi_\theta(a_t | s_t)$$

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Transition probabilities
of environment. We
can't compute this.

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Action probabilities
of policy. We can
are learning this!

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Sequence of states and actions when following policy π_θ

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Reward we get from state sequence x

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Gradient of predicted action scores with respect to model weights. Backprop through model π_θ !

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1. Initialize random weights θ

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1. Initialize random weights θ
2. Collect trajectories x and rewards $f(x)$ using policy π_θ
3. Compute $dJ/d\theta$

Policy Gradients: REINFORCE Algorithm

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1. Initialize random weights θ
2. Collect trajectories x and rewards $f(x)$ using policy π_θ
3. Compute $dJ/d\theta$
4. Gradient ascent step on θ
5. GOTO 2

Policy Gradients: REINFORCE Algorithm

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Intuition:

When $f(x)$ is high: Increase the probability of the actions we took.

When $f(x)$ is low: Decrease the probability of the actions we took.

So far: Q-Learning and Policy Gradients

Q-Learning: Train network $Q_\theta(s, a)$ to estimate future rewards for every (state, action) pair
Use Bellman Equation to define loss function for training Q:

$$y_{s,a,\theta} = \mathbb{E}_{r,s'} \left[r + \gamma \max_{a'} Q(s', a'; \theta) \right] \quad \text{Where } r \sim R(s, a), s' \sim P(s, a)$$
$$L(s, a) = (Q(s, a; \theta) - y_{s,a,\theta})^2$$

Policy Gradients: Train a network $\pi_\theta(a | s)$ that takes state as input, gives distribution over which action to take in that state. Use REINFORCE Rule for computing gradients:

$$J(\theta) = \mathbb{E}_{x \sim p_\theta} [f(x)] \quad \frac{\partial J}{\partial \theta} = \mathbb{E}_{x \sim p_\theta} \left[f(x) \sum_{t \geq 0} \frac{\partial}{\partial \theta} \log \pi_\theta(a_t | s_t) \right]$$

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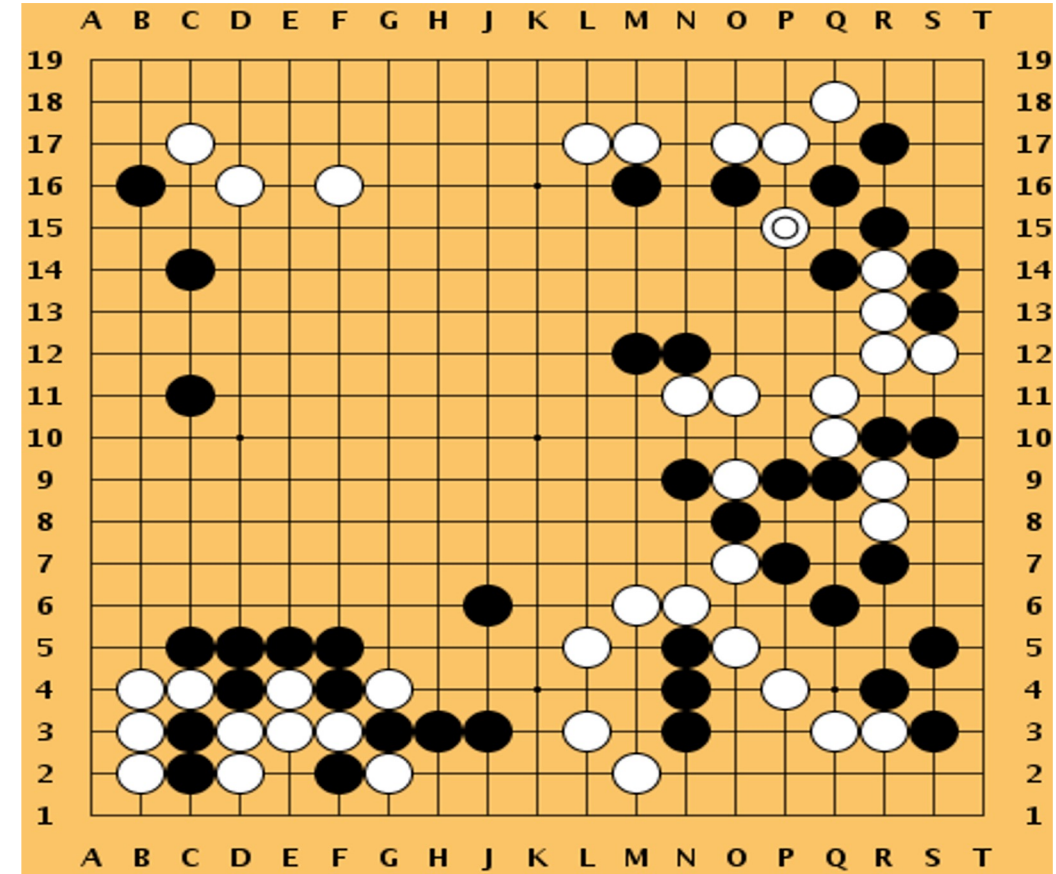
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Improving policy gradients: Add **baseline** to reduce variance of gradient estimator

Case Study: Playing Games

AlphaGo: (January 2016)

- Used imitation learning + tree search + RL
- Beat 18-time world champion Lee Sedol



Silver et al, "Mastering the game of Go with deep neural networks and tree search", Nature 2016

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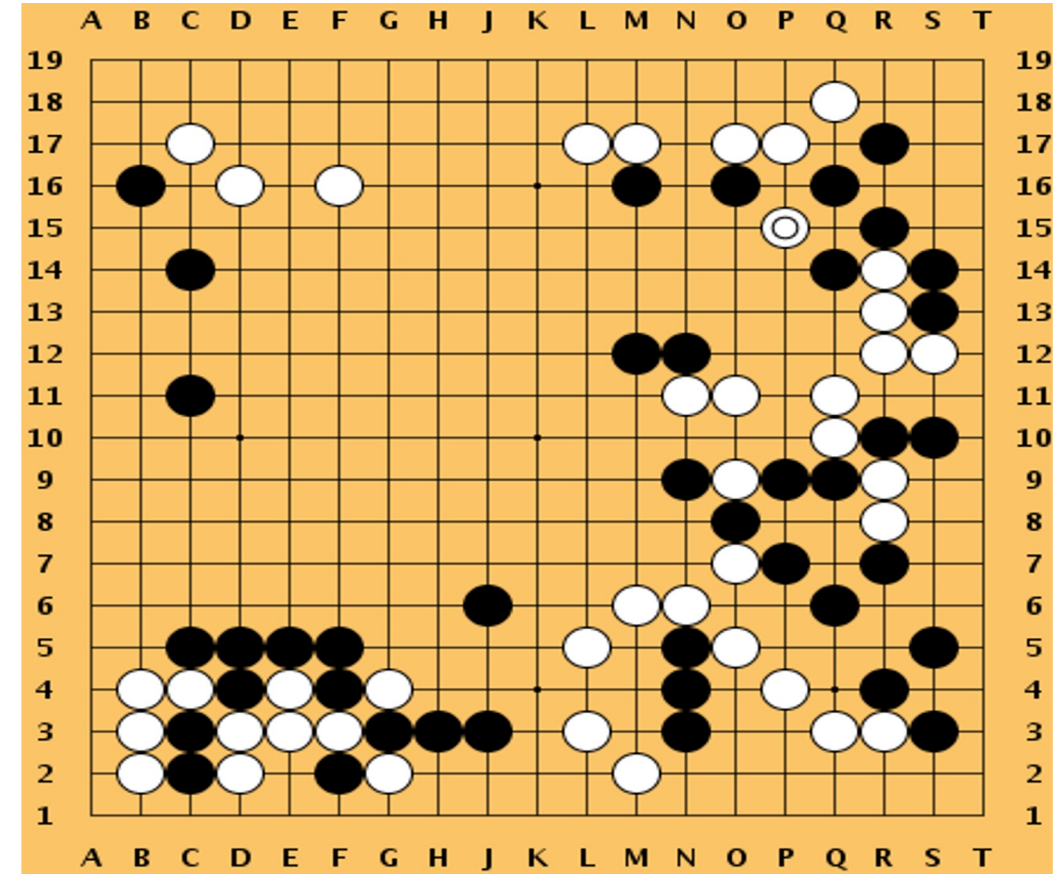
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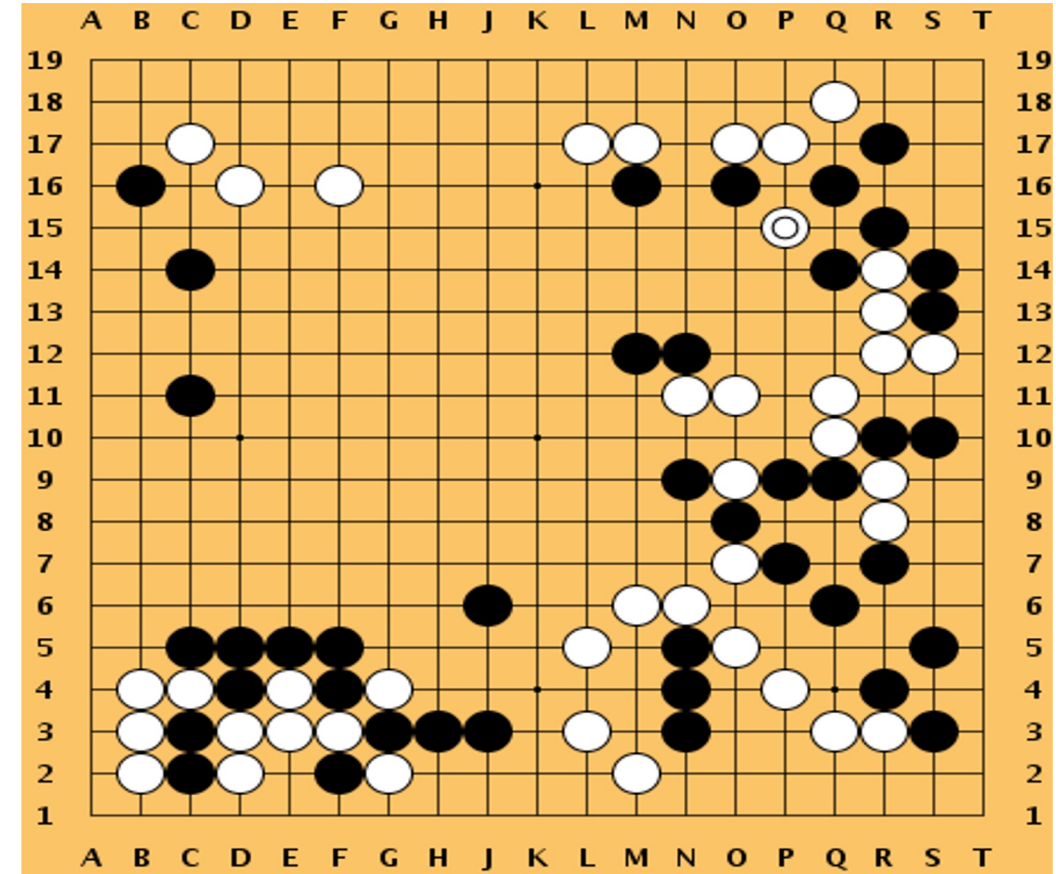
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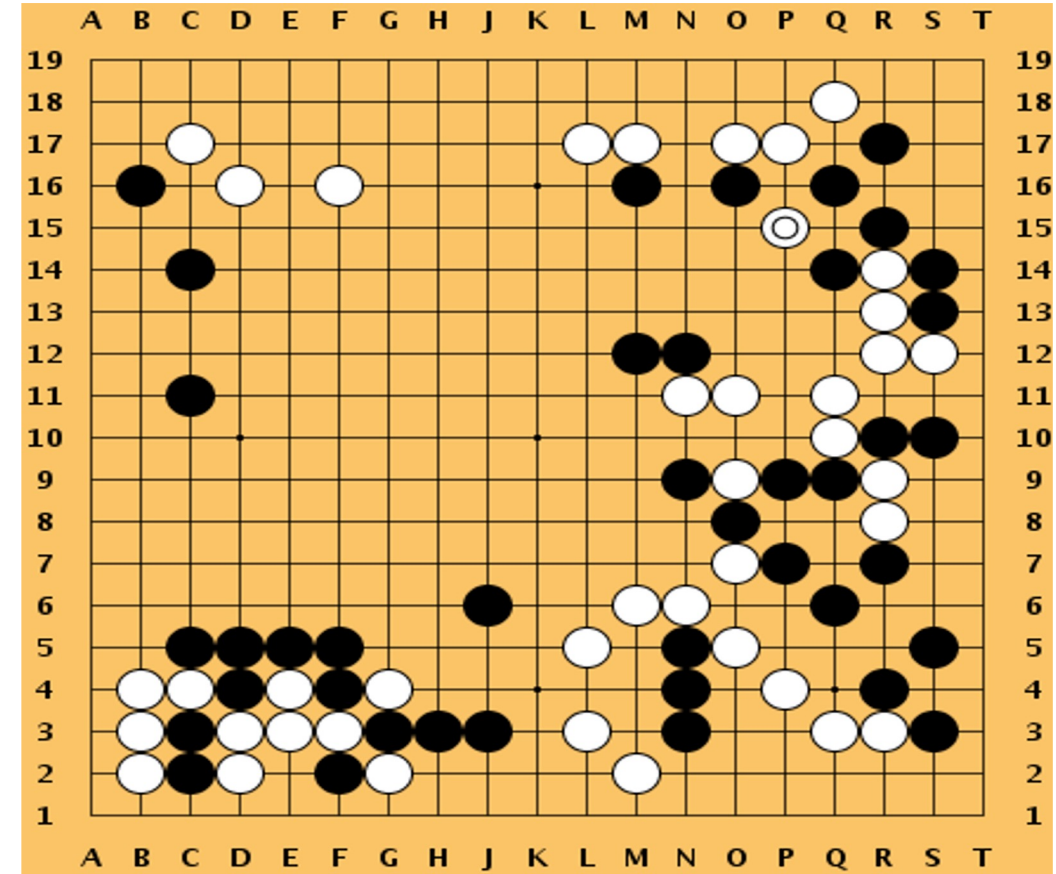
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MuZero (November 2019)

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Case Study: Playing Games

November 2019: Lee Sedol announces retirement

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- Plans through a learned model of the game



“With the debut of AI in Go games, I've realized that I'm not at the top even if I become the number one through frantic efforts”

“Even if I become the number one, there is an entity that cannot be defeated”

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Quotes from: <https://en.yna.co.kr/view/AEN20191127004800315>

[Image of Lee Sedol](#) is licensed under [CC BY 2.0](#)

More Complex Games

StarCraft II: AlphaStar
(October 2019)

Vinyals et al, “Grandmaster level in StarCraft II using multi-agent reinforcement learning”, Science 2018

Dota 2: OpenAI Five (April 2019)

No paper, only a blog post:

<https://openai.com/five/#how-openai-five-works>

Problems of Model-Free RL

- Learns from trials and error
- Require extensive interactions

**AlphaGo Zero: Google DeepMind
supercomputer learns 3,000 years of human
knowledge in 40 days**

Problems of Model-Free RL

- Learns from trials and error
- Require extensive interactions

- Safety concerns
- Limited interpretability
 - What if things go wrong?



Problems of Model-Free RL

- Learns from trials and error
- Require extensive interactions

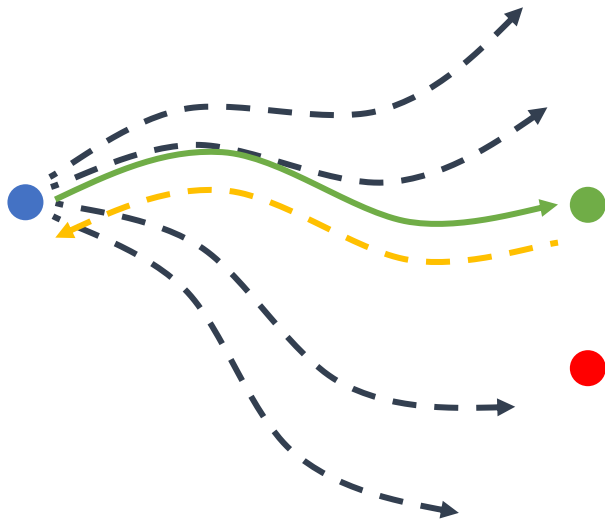
- Safety concerns
- Limited interpretability
 - What if things go wrong?

- Humans maintain an intuitive model of the world
 - Widely applicable
 - Sample efficient



Model-Based RL

Model-Based: Learn a model of the world's state transition function $P(s_{t+1}|s_t, a_t)$ and then use planning through the model to make decisions



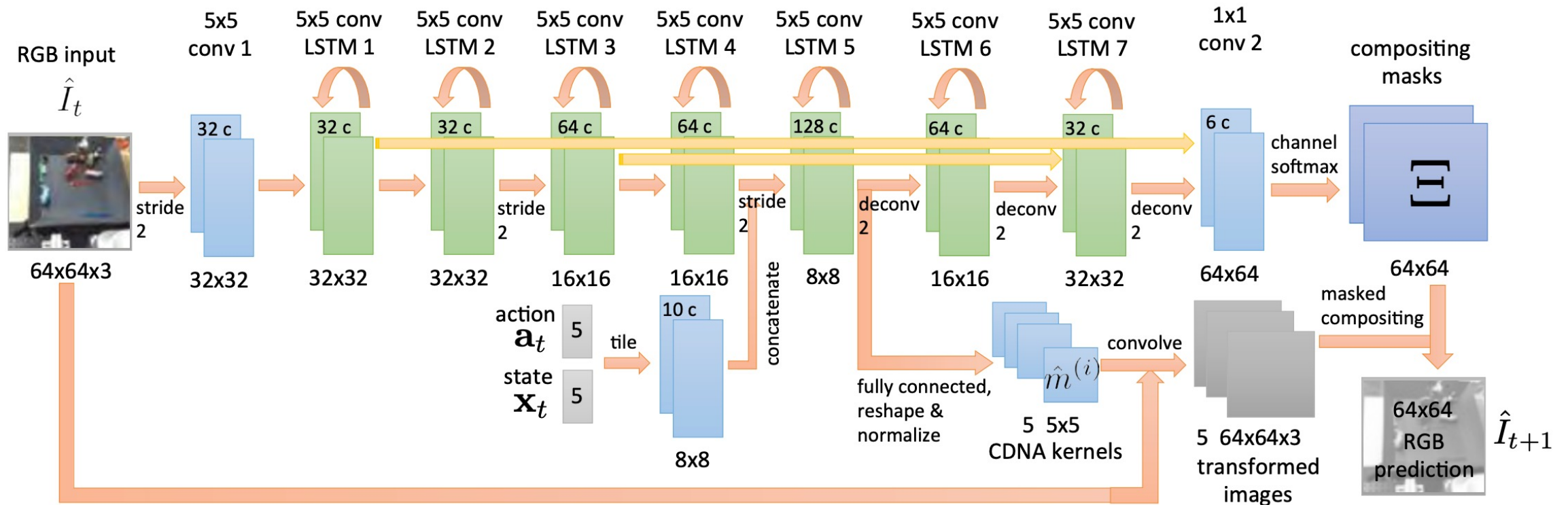
Model might not be accurate enough.

1. Execute the first action
2. Obtain new state
3. Re-optimize the action sequence using gradient descent

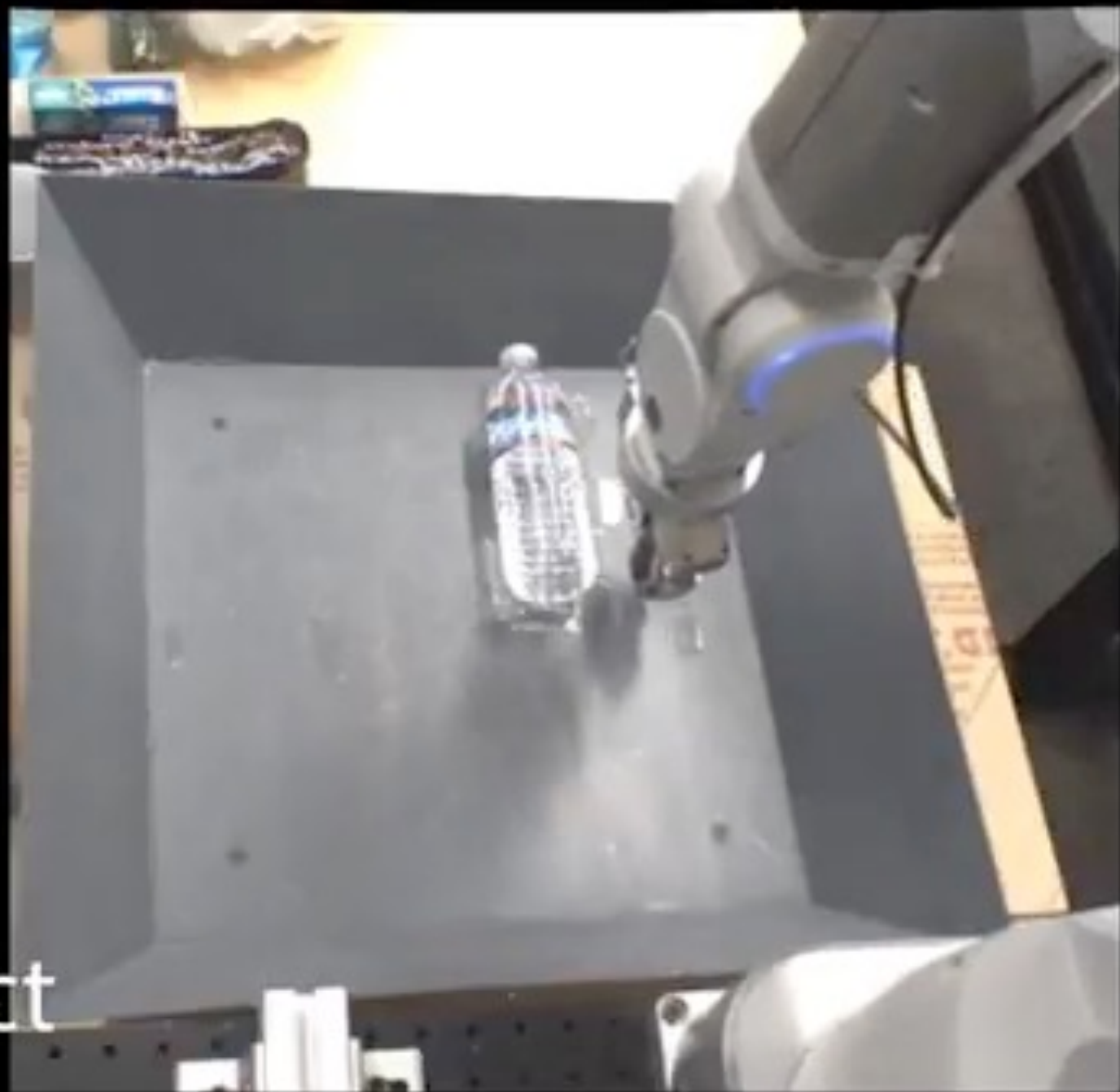
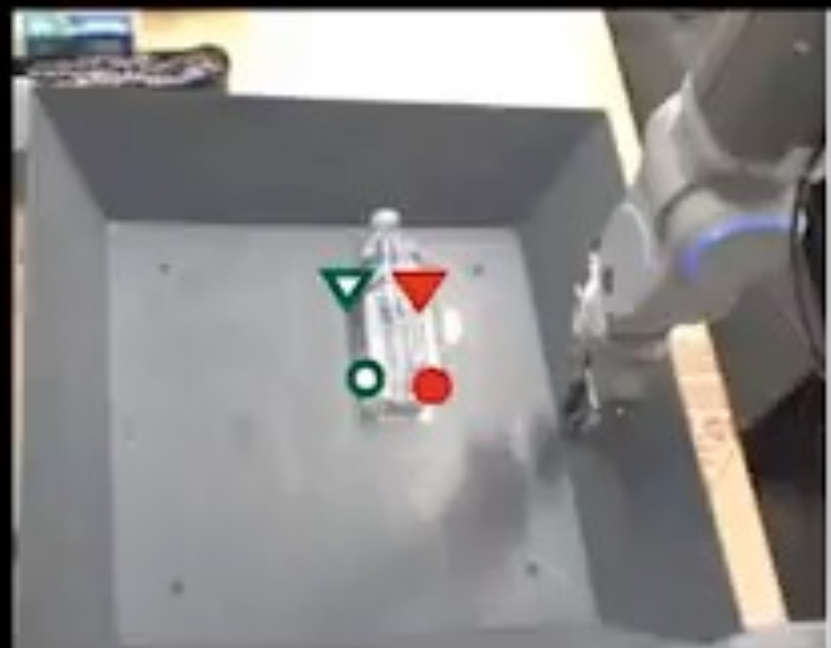
Key: GPU for parallel sampling / gradient descent

Key question: what should be the form of s_t ?

Pixel Dynamics - Deep Visual Foresight

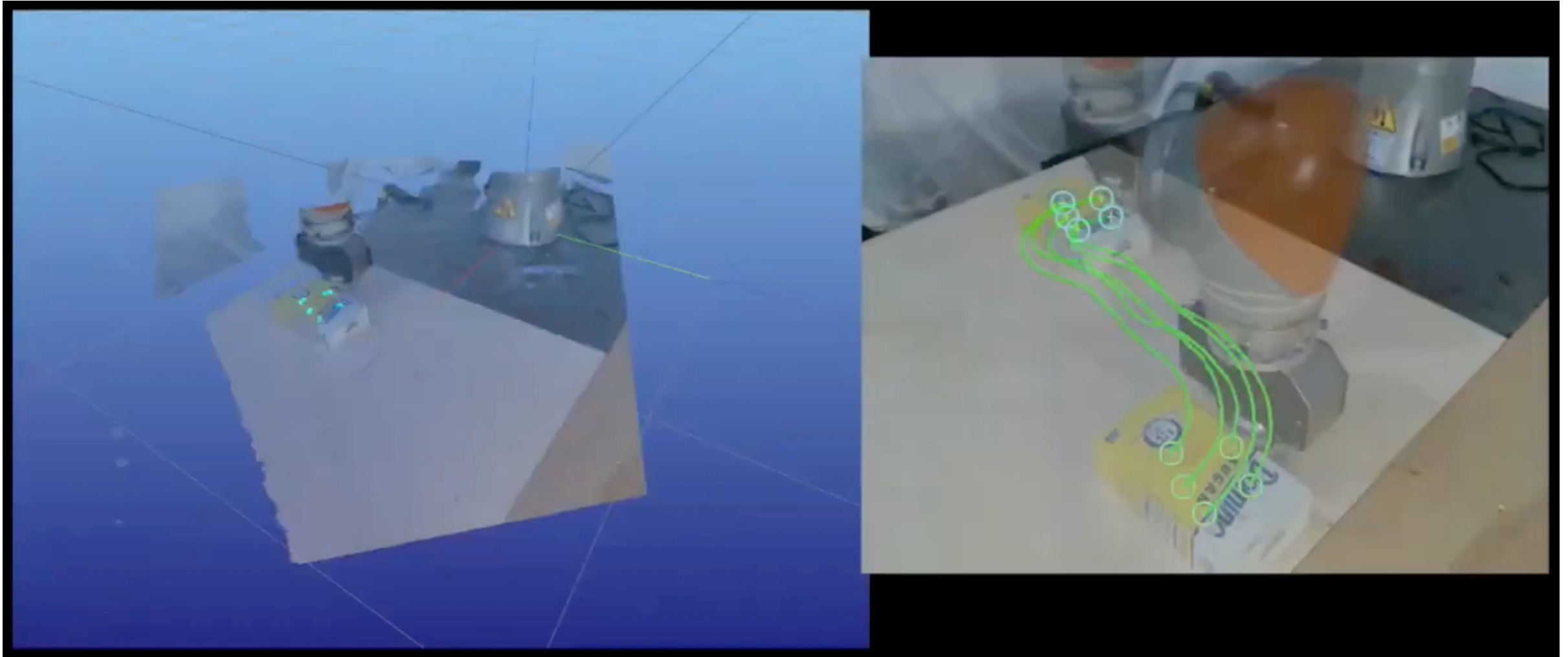


Finn and Levine, "Deep Visual Foresight for Planning Robot Motion", ICRA 2017



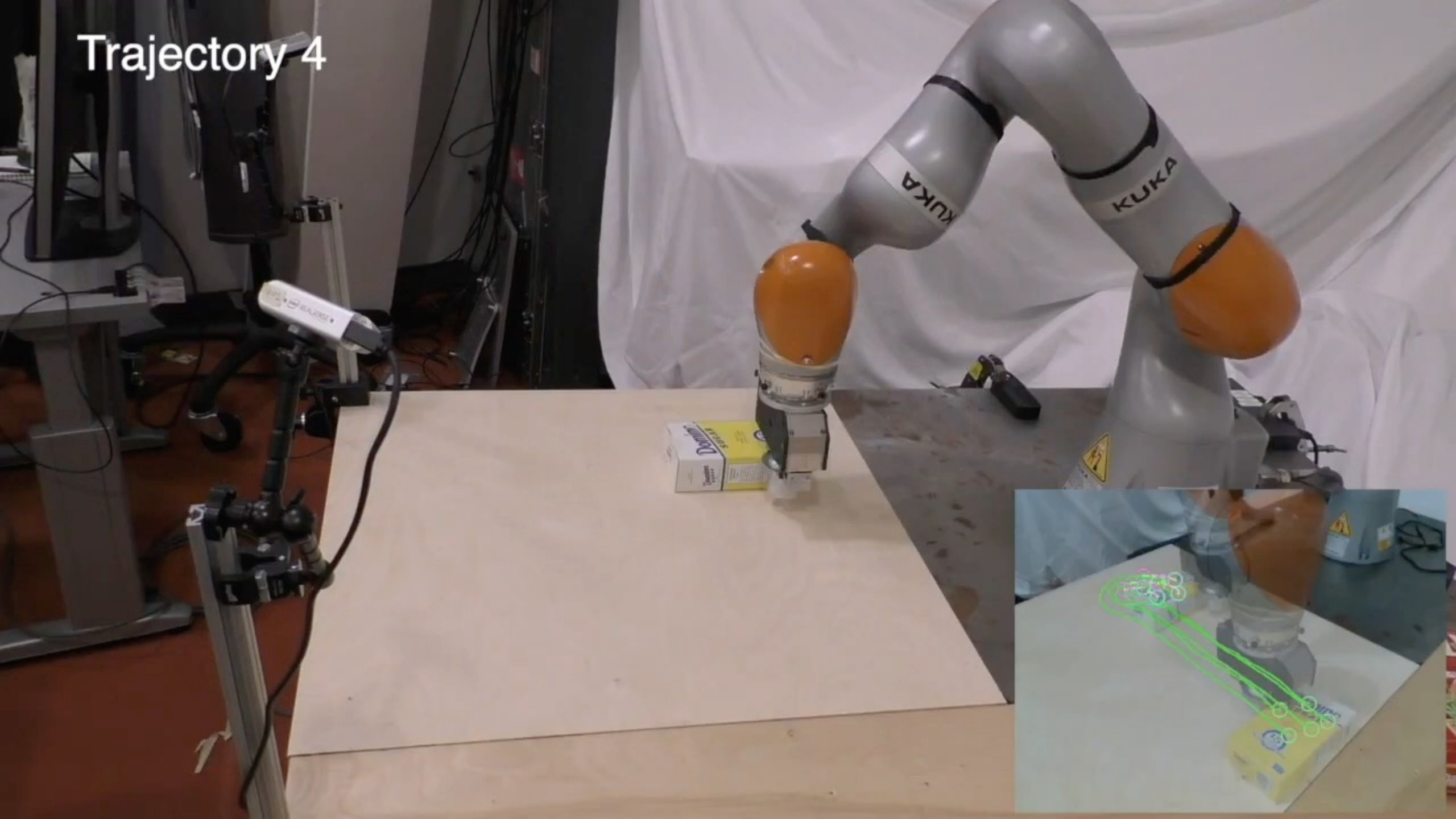
transparent object

Keypoint Dynamics

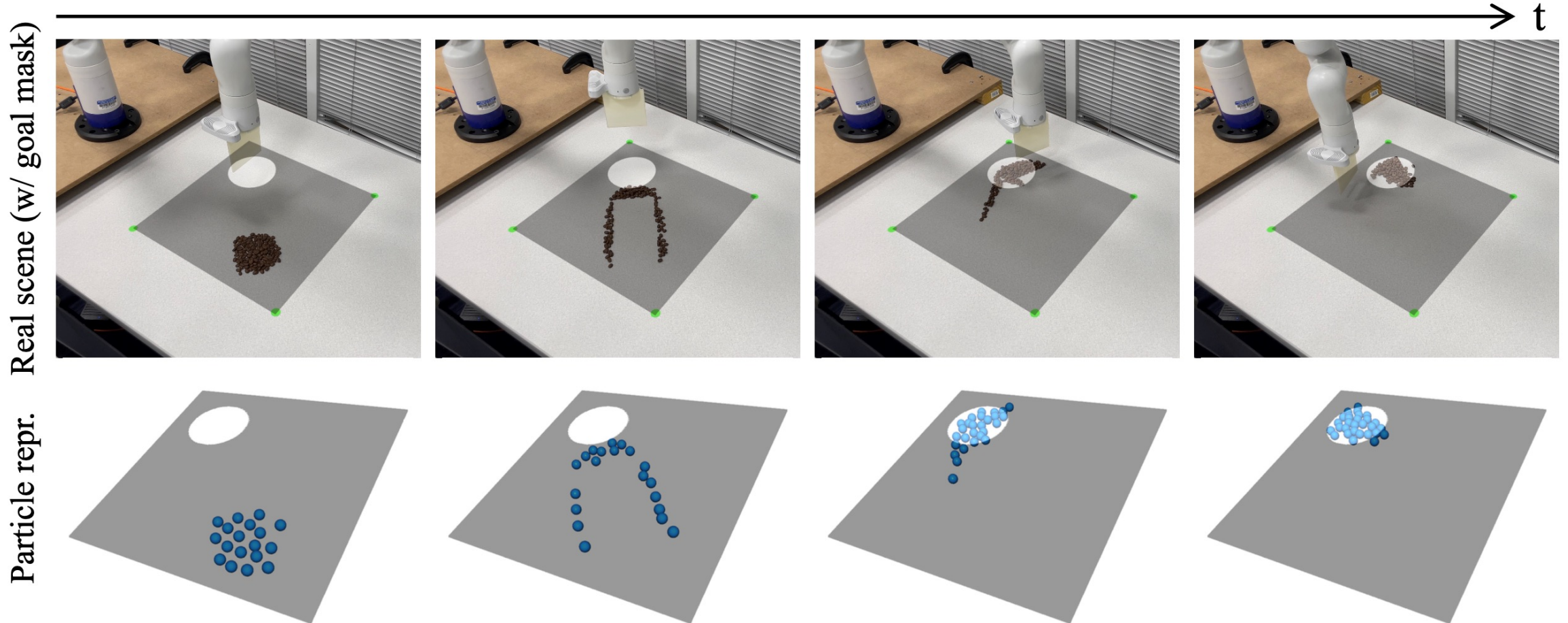


Manuelli, Li, Florence, Tedrake, “Keypoints into the Future: Self-Supervised Correspondence in Model-Based Reinforcement Learning”, CoRL 2020

Trajectory 4



Particle Dynamics



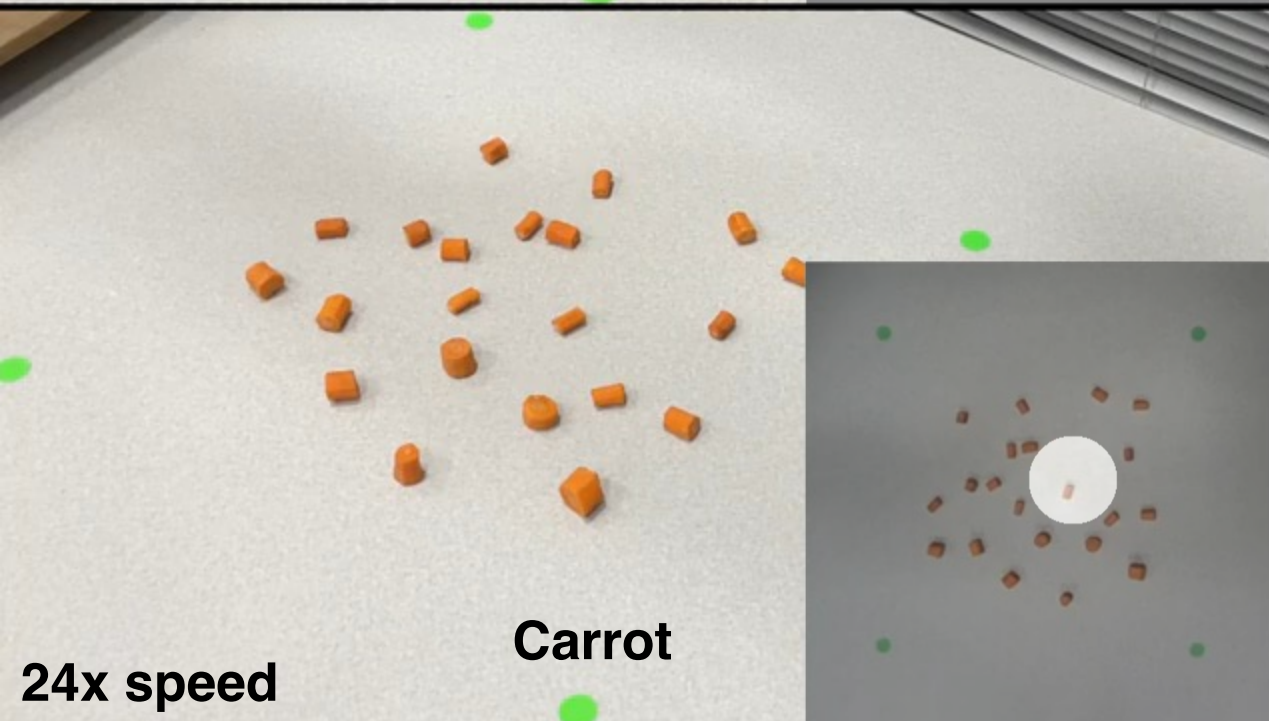
Wang, Li, Driggs-Campbell, Fei-Fei, Wu, "Dynamic-Resolution Model Learning for Object Pile Manipulation", RSS 2023



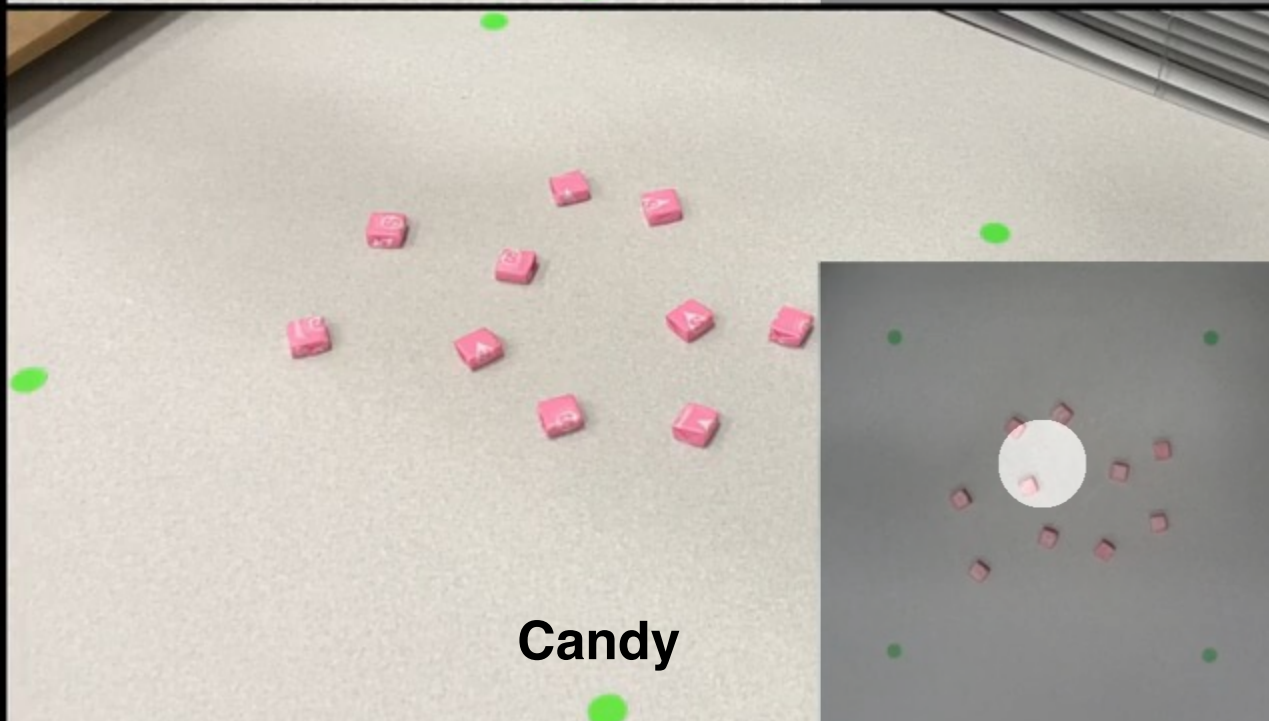
Granola



Rice

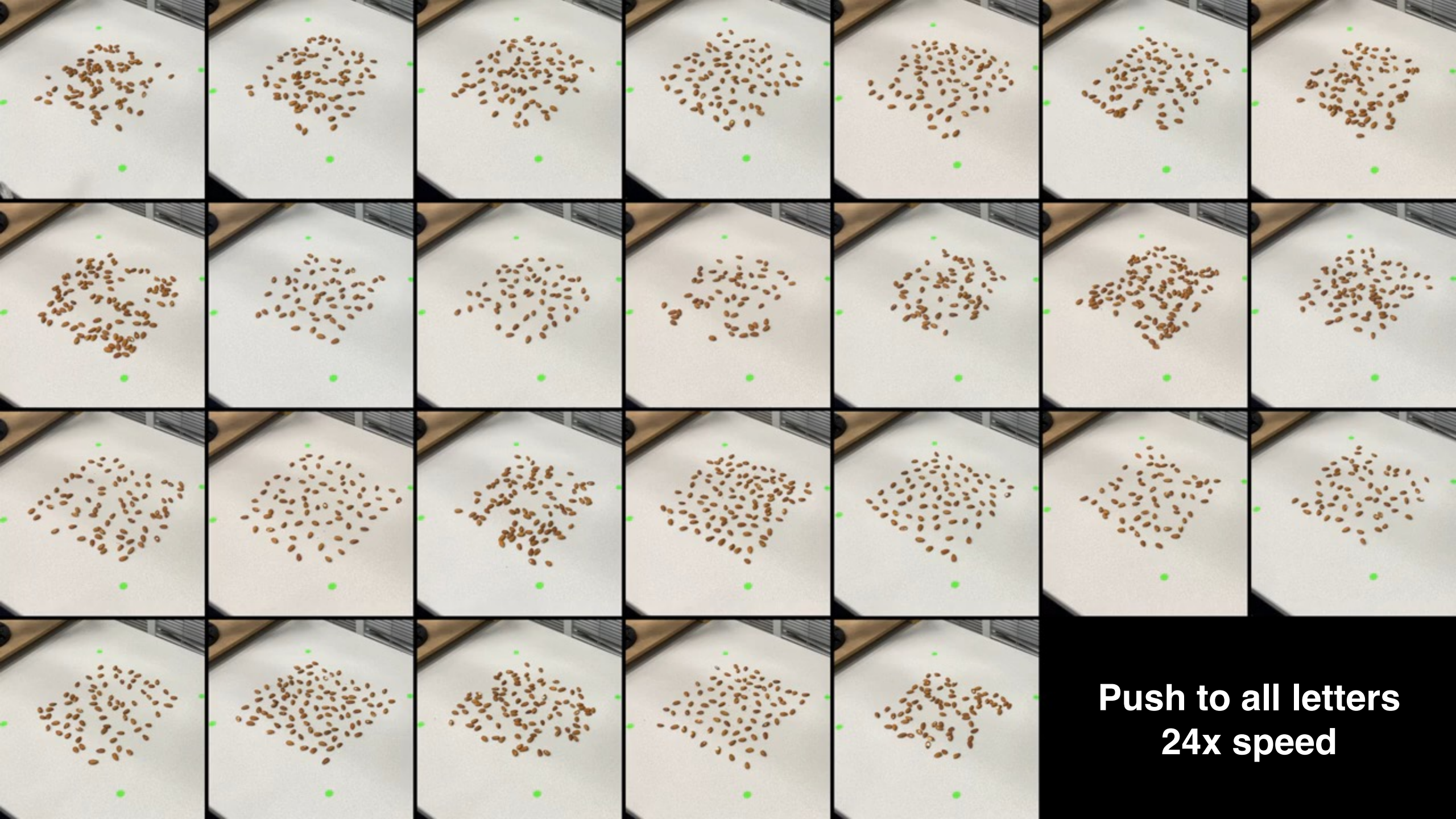


Carrot



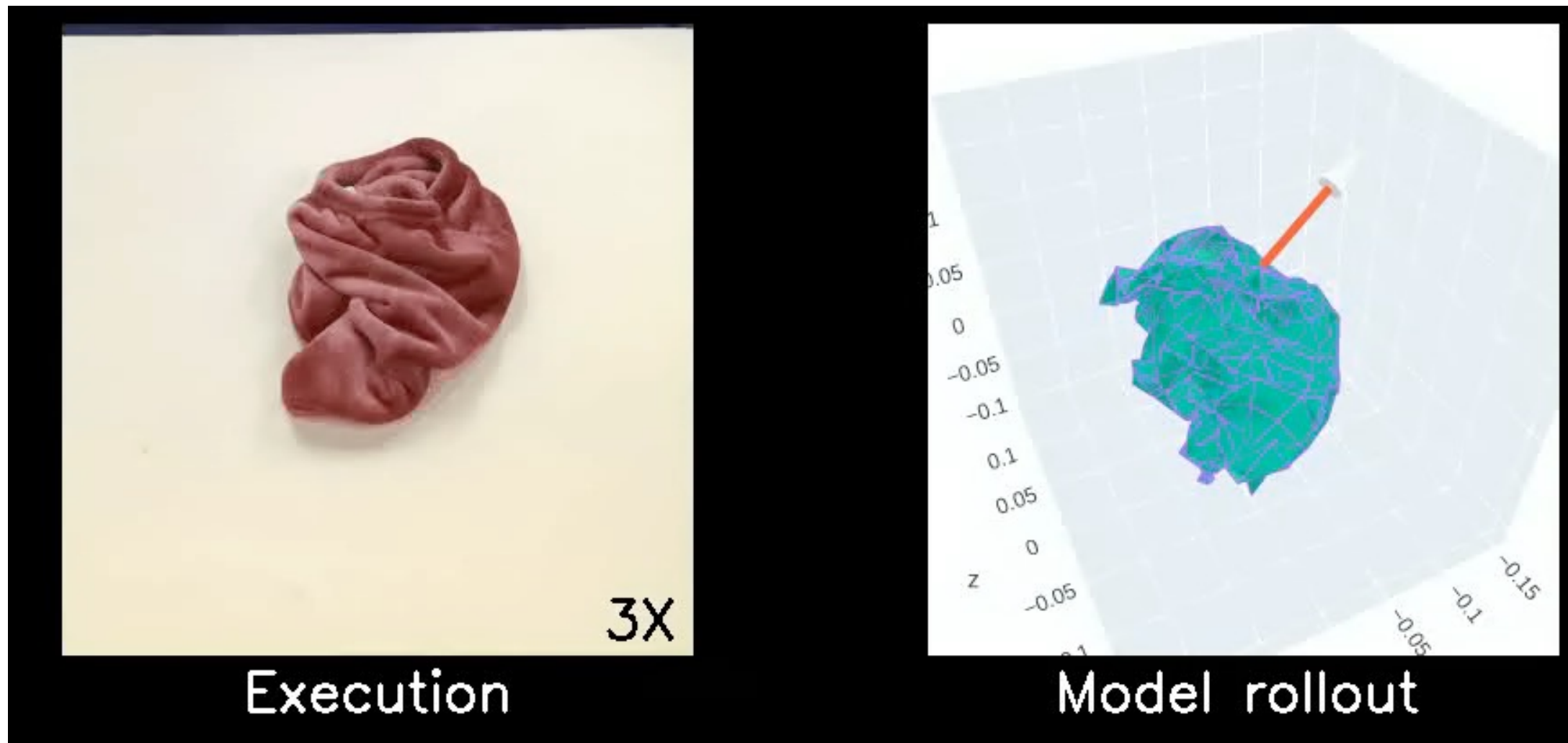
Candy

24x speed



**Push to all letters
24x speed**

Mesh-Based Dynamics



Huang, Lin, Held, "Mesh-based Dynamics with Occlusion Reasoning for Cloth Manipulation", RSS 2022

Other approaches

Model-Based: Learn a model of the world's state transition function $P(s_{t+1}|s_t, a_t)$ and then use planning through the model to make decisions

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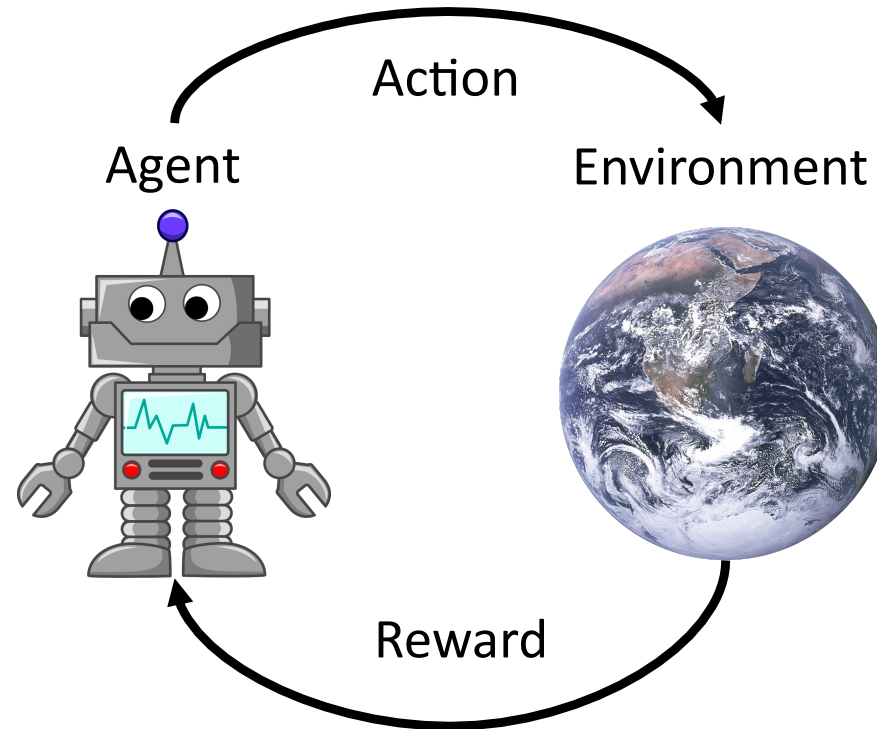
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Ng et al, "Algorithms for Inverse Reinforcement Learning", ICML 2000

Adversarial Learning: Learn to fool a discriminator that classifies actions as real/fake

Ho and Ermon, "Generative Adversarial Imitation Learning", NeurIPS 2016

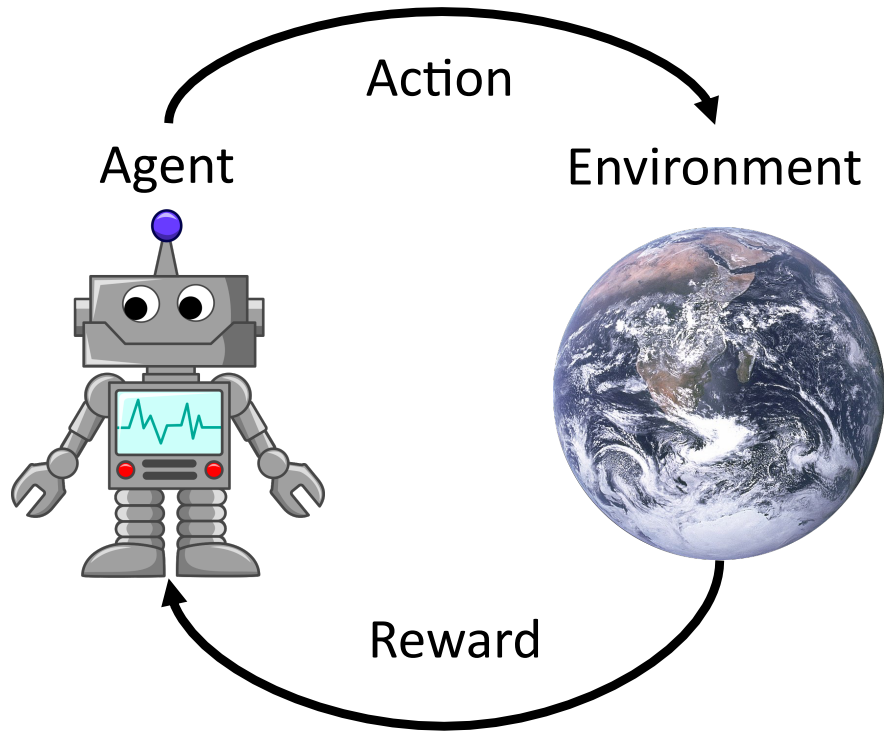
Reinforcement Learning: Interacting With World



Normally we use RL to train **agents** that interact with a (noisy, nondifferentiable) **environment**

Summary: Reinforcement Learning

RL trains **agents** that interact with an **environment** and learn to maximize **reward**



Q-Learning: Train network $Q_{\theta}(s, a)$ to estimate future rewards for every (state, action) pair. Use Bellman Equation to define loss function for training Q

Policy Gradients: Train a network $\pi_{\theta}(a | s)$ that takes state as input, gives distribution over which action to take in that state. Use REINFORCE Rule for computing gradients

Next time: Generative Models

Guest Lecture by Dr. Ruiqi Gao from Google Brain